FLINT HOUSING COMMISSION IFB 2026-15 RIVER PARK SIDING PROJECT

SIDING/SOFFIT/FASICA & GUTTER

REPLACEMENT

RIVER PARK

BUILDING 7
6 UNIT

BUILDING 12
4 UNIT

BUILDING 20
6 UNIT

BUILDING 23
6 UNIT

BUILDING 24
6 UNIT

INVITATION TO BID
River Park Siding/Soffit
Fascia & Gutter
Project
IFB 2026-15

Owner: Flint Housing Commission
3820 Richfield Rd
Flint, MI 48506

The owner will receive proposals until 2:00 pm on Monday, August 31, 2026, at the Central Office of the Flint Housing Commission (3820 Richfield Rd, Flint, MI 48506). Proposals after this time will not be accepted. Bids are sealed so they will be publicly opened. All bidders will be informed who is awarded the contract. Contract will be awarded based on cost and time line stated in bids.

Owner is holding a pre-bid meeting or walk through the property on Monday August 17, 2026, at 10:00 am, at River Park Apartments 7002 Pemberton, Flint, MI 48505 but not mandatory.

Doug Peters will be the contact for this bid package. If you have any general questions, please call at 810-736-3143 or e-mail RFP@flinthc.org. All written questions concerning the scope of work need to be submitted through the e-procurement site.

Contractor to provide total price (lump sum) for the **River Park IFB 2026-15, FLINT HOUSING COMMISSION WILL BE AWARDING A CONTRACT FOR THE CONTRACTOR WITH THE LOWEST BID PRICE.** Forms are in the Bid Packages. FHC needs the siding project done within **90 days** from Notice to Proceed to complete work.

All work will be performed under the guidelines for the Capital Fund Grant Program managed through the Detroit Area Office of Dept. of Housing and Urban Development (HUD). **Davis-Bacon wage rates must be paid.** Section 3 workers are encouraged.

Bids must be submitted on forms furnished by the FHC and shall be accompanied by a bid bond or certified check in the amount of five percent (5%) of the bid amount, if the bid price is over $25,000.00, Liability, Workers Compensation and Automobile Insurance is necessary to work on FHC properties.

SCHEDULE
IFB 2026-15

1. Bid due Date: August 31, 2026, by 2:00pm @ 3820 Richfield Rd. Flint, MI 48506
2. Pre bid walk through August 17, 2026, at 10:00 am at 7002 Pemberton Dr. Flint, Mi 48505
3. Approval by Board of Commissioners September 22, 2026.
4. Upon approval a contract will be sent out.
5. Order materials
6. Notice to proceed.

GENERAL SCOPE OF WORK

1. Keep outside working are clean at all times
2. Remove & dispose of all debris
3. Remove & replace all siding
4. Remove & replace all soffit, fascia
5. Remove & replace all gutters
6. Remove & replace plywood if needed

Flint Housing Commission

River Park Apartments

Scope:

Siding Replacement Building 7, 23

- Protect all landscaping.
- Remove and dispose of all existing siding and accessories.
- Install house wrap as needed.
- Install new flashing to all windows and doors as needed.
- Install new vent covers in siding.
- Install J-channel around all openings.
- Install new U-trim under all eaves and sills.
- Install starter strip around the perimeter where applicable.
- Install approximately 29 square of vinyl siding on second story elevations.
- Caulk all penetrations.
- Clean up and dispose of all waste

Siding color: Weatherwood
J channel color: Weatherwood
Corner color: Weatherwood
Note: Siding will be installed around entry door/window configurations

Window Wraps:

- Wrap QTY 14 second story windows with metal trim coil. Existing wood will remain in place.

Note: Windows with vinyl on all sides on second floor front and back will get wrapped in 2x4s and trimmed with metal trim coil.

- Wrap QTY 12 first story windows with 2x4 framing.
- Wrap QTY 12 first story windows with metal trim coil.
- Wrap QTY 4 windows in brick at top only

Soffit and Fascia:

- Demo and dispose of existing soffit and fascia on first and second stories.
- Install approximately 615LF of fascia on first and second stories.
- Install approximately SSSLF of white vinyl soffit on first and second stories.

Fascia color: White
Soffit color: White
Soffit material: Vinyl

Gutter replacement:

- Remove and dispose of all existing gutters and downspouts Note: Leave first floor gutter tube in place.
- Install approximately 320 LF of new 6" seamless gutters
- Install 8 new downspouts- 3x4
- Install 4 outside miters
- Color: White

Building 7 & 23

Addendum:

In the case of concealed damage to sheathing, up to 10 sheets of OBS replacement included in the estimate.
In the case of concealed damage to fascia boards, up to S0LF of replacement boards is included in the estimate. This estimate pricing is valid if using white vinyl soffit. Estimate pricing will change if alternate color and/or material is requested for soffit.

Eave venting

- Protect all landscaping.
- Cut plywood on eave ends out of second story truss cavities. 146 LF of eave venting - Remove plywood every other cavity. 146LF/front and back of building only.
- Insert attic *baffle* to ensure proper ventilation. 146LF/front and back of building only.
- Install soffit system as planned.

Flint Housing Commission

River Park Apartments
Scope:

Siding Replacement Building 24
- Protect all landscaping.
- Remove and dispose of all existing siding and accessories.
- Install house wrap as needed.
- Install new flashing to all windows and doors as needed.
- Install new vent covers in siding.
- Install J-channel around all openings.
- Install new U-trim under all eaves and sills.
- Install starter strip around the perimeter where applicable.
- Install approximately 30 square of vinyl siding on second story elevations.
- Caulk all penetrations.
- Clean up and dispose of all waste

Siding color: Weatherwood
J channel color: Weatherwood
Corner color: Weatherwood
Note: Siding will be installed around entry door/window configurations

Window Wraps:
- Wrap QTY 15 second story windows with metal trim coil. Existing wood will remain in place.
- Wrap QTY 10 first story windows with 2x4 framing.
- Wrap QTY 10 first story windows with metal trim coil.
- Wrap QTY 6 windows in brick at top only

Soffit and Fascia:
- Demo and dispose of existing soffit and fascia on first and second stories.
- Install approximately 700LF of fascia on first and second stories.
- Install approximately 700LF of white vinyl soffit on first and second stories.

Fascia color: White
Soffit color: White
Soffit material: Vinyl

Gutter replacement:
- Remove and dispose of all existing gutters and downspouts Note: Leave first floor gutter tube in place.
- Install approximately 347 LF of new 6" seamless gutters
- Install 8 new downspouts- 3x4
- Install 6 outside miters
- Color: White

Building 24

Addendum:

In the case of concealed damage to sheathing, up to 10 sheets of OBS replacement included in the estimate.
In the case of concealed damage to fascia boards, up to S0LF of replacement boards included in the estimate. This estimate pricing is valid if using white vinyl soffit. Estimate pricing will change if alternate color and/or material is requested for soffit.

Eave venting

- Protect all landscaping.
- Cut plywood on eave ends out of second story truss cavities. 143 LF of eave venting - Remove plywood every other cavity. 143LF/front and back of building only.
- Insert attic baffle to ensure proper ventilation. 143LF/front and back of building only.
- Install soffit system as planned.

Flint Housing Commission

River Park Apartments
Scope:

Siding Replacement Building 20
- Protect all landscaping.
- Remove and dispose of all existing siding and accessories.
- Install house wrap as needed.
- Install new flashing to all windows and doors as needed.
- Install new vent covers in siding.
- Install J-channel around all openings.
- Install new U-trim under all eaves and sills.
- Install starter strip around the perimeter where applicable.
- Install approximately 30 square of vinyl siding on second story elevations.
- Caulk all penetrations.
- Clean up and dispose of all waste

Siding color: Weatherwood
J channel color: Weatherwood
Corner color: Weatherwood
Note: Siding will be installed around entry door/window configurations

Window Wraps:
- Wrap QTY 13 second story windows with metal trim coil. Existing wood will remain in place.
- Wrap QTY 12 first story windows with 2x4 framing.
- Wrap QTY 12 first story windows with metal trim coil.
- Caulk QTY 6 windows in brick with fresh caulk seals.

Note: Windows with vinyl on all sides will be J-channeled around, same as existing.

Soffit and Fascia:
- Demo and dispose of existing soffit and fascia on first and second stories.
- Install approximately 668LF of fascia on first and second stories.
- Install approximately 668LF of white vinyl soffit on first and second stories.

Fascia color: White
Soffit color: White
Soffit material: Vinyl

Gutter replacement:
- Remove and dispose of all existing gutters and downspouts Note: Leave first floor gutter tube in place.
- Install approximately 302 LF of new 6" seamless gutters
- Install 8 new downspouts- 3x4
- Install 6 outside miters
- Install 2 inside miters
- Color: White

Building 20

Addendum:

In the case of concealed damage to sheathing, up to 10 sheets of OBS replacement included in the estimate.
In the case of concealed damage to fascia boards, up to SOLF of replacement boards is included in the estimate. This estimate pricing is valid if using white vinyl soffit. Estimate pricing will change if alternate color and/or material is requested for soffit.

- Protect all landscaping.
- Cut plywood on eave ends out of second story truss cavities. 142LF of eave venting - Remove plywood every other cavity. 142LF/front and back of building only.
- Insert attic baffe to ensure proper ventilation. 142LF/front and back of building only.
- Install soffit system as planned.

Flint Housing Commission

River Park Apartments

Scope:

Siding Replacement Building 12

- Protect all landscaping.
- Remove and dispose of all existing siding and accessories.
- Install house wrap as needed.
- Install new flashing to all windows and doors as needed.
- Install new vent covers in siding.
- Install J-channel around all openings.
- Install new U-trim under all eaves and sills.
- Install starter strip around the perimeter where applicable.
- Install approximately 26 square of vinyl siding on second story elevations.
- Caulk all penetrations.
- Clean up and dispose of all waste

Siding color: Weatherwood
J channel color: Weatherwood
Corner color: Weatherwood
Note: Siding will be installed around entry door/window configurations

Window Wraps:

- Wrap QTY 10 second story windows with metal trim coil. Existing wood will remain in place.
- Wrap QTY 8 first story windows with 2x4 framing.
- Wrap QTY 8 first story windows with metal trim coil.

Soffit and Fascia:

- Demo and dispose of existing soffit and fascia on first and second stories.
- Install approximately 492 LF of fascia on first and second stories.
- Install approximately 492 LF of white vinyl soffit on first and second stories.

Fascia color: White
Soffit color: White
Soffit material: Vinyl

Gutter replacement:

- Remove and dispose of all existing gutters and downspouts Note: Leave first floor gutter tube in place.
- Install approximately 252 LF of new 6" seamless gutters
- Install 6 new downspouts- 3x4
- Install 4 outside miters
- Color: White

Building 12:

Addendum:

In the case of concealed damage to sheathing, up to 10 sheets of OBS replacement included in the estimate.

In the case of concealed damage to fascia boards, up to SOLF of replacement boards is included in the estimate. This estimate pricing is valid if using white vinyl soffit. Estimate pricing will change if alternate color and/or material is requested for soffit.

Eave venting

- Protect all landscaping.
- Cut plywood on eave ends out of second story truss cavities. 91LF of eave venting - Remove plywood every other cavity. 91LF/front and back of building only.
- Insert attic baffe to ensure proper ventilation. 91LF/front and back of building only.

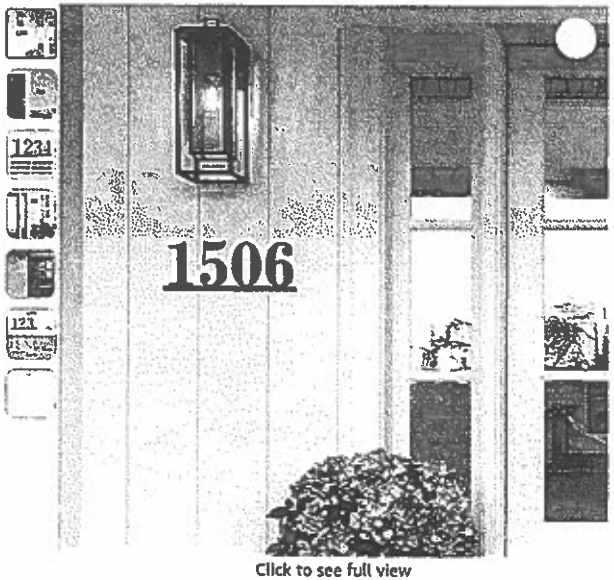
Install soffit system as planned

RIVER PARK
SIDING
PROJECT
MATERIAL
LIST

1. Siding- Weathered Wood D4" Mainstreet, Woodgrain Certainteed
2. Corners- Weather Wood
3. J-channel, utility trim – Weather Wood
4. Soffit- White Vinyl
5. Fascia – White Aluminum
6. Gutters/ downspouts- White
7. Window Wrap- White Aluminum
8. 7/16 or 1/2 OSB
9. House wrap
10. Address Numbers- Please attached paperwork
    10" Modern Black Metal
11. Building Numbers-Please attached paperwork
    3" Address Modern Black Metal Numbers

**** NOTE****
Each Building will have 3 Number signs -Total of 15
Each Building will have 2 addresses per unit- Total of 56 Addresses

Sponsored



Click to see full view

Stylish House Number Sign for Outside,Personalized Unique Address Number Sign,Custom Metal Address Number Plaque with Pre-drilled Holes,2 Color Select,Ideal Gift for Housewarming

Brand: useNumber

4.8 13 ratings

$6.98

Get $60 off instantly: Pay $0.00 upon approval for the Amazon Store Card.

Color: **Metal Address Plaque**



Brand	useNumber
Size	3-8 inches
Color	Metal Address Plaque
Mounting Type	Wall Mount
Orientation	Portrait
Product Dimensions	3"L x 3"W

See more

About this item

- Fully Personalized Design:Customize with house numbers, address number,street number,or mailbox number to perfectly match your home, apartment, or office.
- Classic Color: modern simple black,fresh versatile white,easily matching all kinds of building exterior or courtyard style.Bold colors ensure numbers remain clear and readable day or night.
- Practical 3-8 Inch Sizes: Modern address plaque sign ideal for apartment doors, mailboxes, garden gates, or office entries.Multiple sizes (3", 5", 8") adapt to narrow spaces while maintaining readability.
- Weatherproof & Long-Lasting:The address number sign crafted from rust-resistant metal,built to withstand rain, sun, and snow.Colors stay vibrant for years,even in harsh outdoor conditions.
- Easy Installation, No Hassle:Mount in minutes with Pre-drilled holes and matching hardware allow hassle-free mounting on walls, fences, or gates.

Report an issue with this product or seller

$6.98

FREE delivery December 5 - 11.
Details

Delivering to Flint 48506 - Update location

In stock
Usually ships within 3 to 4 days.

This product needs to be customized before adding to cart.

Customize now

By clicking "Customize now", you agree to these Terms and Conditions.

Ships from	BY_shop
Sold by	BY_shop
Returns	This item is non-returnable
Payment	Secure transaction

Add to List



3" 4" 5" 6" 8" 10" Metal House N...

4.8 388

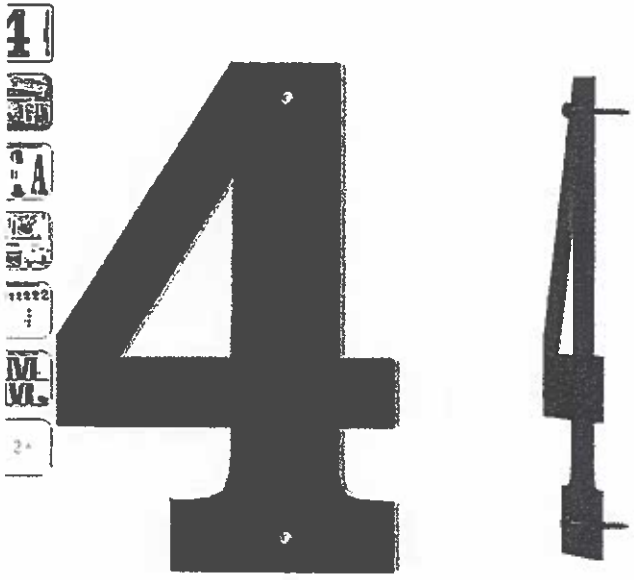
$4.99

Unknown Binding

Sponsored

2 $13.99 Qty: 2
4 $13.99 2
3 $13.99 2
1 $13.99 8
9 $13.99 2

Sponsored



Click to see full view

10 Inch Modern Black Metal Address House Number,Durable Outdoor Garden Garage Mailbox Decor Number Letter with Nail kit,Sturdy House Number for Outside,Visual Sign (4)

Brand: ShowMtheM

4.4 75 ratings

$13.99

Get $60 off instantly: Pay $0.00 upon approval for the Amazon Store Card.

Size: 10 IN

3 IN | 4 IN | 5 IN | 6 IN | 7 IN | **10 IN** | 12 IN | 16 IN | 20 IN

Color: Black-4

0 $13.99	1 $13.99	2 $13.99	3 $13.99	4 $13.99	5 $13.99	6 $13.99	E $13.99
7 $13.99	8 $13.99	9 $13.99	A $13.99	B $13.99	C $13.99	D $13.99	L $13.99

‹ Previous 1 2 3 Next ›

Brand	ShowMtheM
Size	10 IN
Color	Black-4
Material	Metal
Recommended Uses For Product	Entrance, Lawn, Property
Product Dimensions	10"L x 10"W
Manufacturer	ShowMtheM

About this item

$13.99

$2.98 delivery December 5 - 11. Details

Delivering to Flint 48506 - Update location

In stock

Usually ships within 3 to 4 days.

Quantity: 1

Add to Cart

Buy Now

Ships from Xunhuan_Art

Sold by Xunhuan_Art

Returns Returnable until Jan 31, 2026

Payment Secure transaction

Add to List



Double Sided House Address Sig...

4.6 80

$18.99

Sponsored

Qty: 2

$13.99

Qty: 4

$13.99

Qty: 2

Qty: 3

$13.99

Qty: 2

Qty: 1

$13.99

Qty: 8

Qty: 9

$13.99

Qty: 2

Building # 7
Building # 12
Building # 20
Building # 23
Building # 24

Building 7
Unit #
7052
7054
7056
7058
7060
7062

Building 12
Unit#
7002
7004
7006
7008

Building 20
Unit #
2201
2203
2205
2207
2209
2211

Building 23
Unit #
2253
2255
2257
2259
2261
2263

Building 24
Unit #
2202
2204
2206
2208
2210
2212

RIVERVIEW APARTMENTS
ADDRESS MAP
MARCH 1971
TOURAINE DRIVE
MORNINGSIDE DRIVE
PEMBERTON DRIVE
YORKSHIRE DRIVE
EAST CARPENTER ROAD
COMM BLDG

WAGES

ALL CONTRACTORS AND SUBCONTRACTORS MUST MAKE A WAGE RATE OF NOT LESS THAN DAVIS-BACON WAGE RATE AS DETERMINED BY THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT LABOR DEPARTMENT.

WAGE INTERVIEWS WILL BE CONDUCTED THROUGHOUT THE CONTRACT TO ENSURE THAT ALL WORKERS MAINTAIN THIS WAGE RATE.

IF IT IS DETERMINED THAT A WORKER HAS NOT RECEIVED DAVIS-BACON WAGE RATES, BACK WAGES WILL BE ASSESSED AND CHRGED TO THE GENERAL CONTRACTOR'S CONTRACT.

IF YOU HAVE ANY QUESTIONS CONCERNING THIS, PLEASE CONTACT DOUG PETERS AT (810) 736-3143

"General Decision Number: MI20260013 05/18/2026

State: Michigan

Construction Types: Residential

Counties: Michigan Counties of
Genesee

RESIDENTIAL CONSTRUCTION PROJECTS (consisting of single family homes and apartments up to and including 4 stories)

Modification Number	Publication Date
1	01/23/2026
2	05/18/2026

CARP0706-012 06/01/2025

	Rates	Fringes
CARPENTER	$ 36.46	23.69

ELEC0948-002 06/14/2025

	Rates	Fringes
ELECTRICIAN	$ 35.62	13.70

ENGI0325-030 06/01/2025

	Rates	Fringes
OPERATOR: POWER EQUIPMENT: GROUP 4 BOBCAT/ SKID LOADER FOOTNOTES: PAID HOLIDAYS: NEW YEAR'S DAY, MEMORIAL DAY, FOURTH OF JULY, LABOR DAY, THANKSGIVING DAY AND CHRISTMAS DAY	$ 41.32	25.25
OPERATOR: POWER EQUIPMENT: GROUP 3 BACKHOE/EXCAVATOR; BULLDOZER; CRANE; GRADER/BLADE; LOADER; AND SCRAPER FOOTNOTES: CRANE OPERATOR WITH MAIN BOOM AND JIB 300' OR LONGER: $1.50 PER HOUR ABOVE THE GROUP 1 RATE. CRANE OPERATOR WITH MAIN BOOM AND JIB 400' OR LONGER: $3.00 PER HOUR ABOVE THE GROUP 1 RATE. PAID HOLIDAYS: NEW YEAR'S DAY, MEMORIAL DAY, FOURTH OF JULY, LABOR DAY, THANKSGIVING DAY AND CHRISTMAS DAY	$ 44.00	25.25
OPERATOR: POWER EQUIPMENT: GROUP 2 CRANE OPERATOR WITH MAIN BOOM AND JIB 140' OR LONGER, TOWER CRANE, GANTRY CRANE, WHIRLEY DERRICK FOOTNOTES: CRANE OPERATOR WITH MAIN BOOM AND JIB 300' OR LONGER: $1.50 PER HOUR ABOVE THE GROUP 1 RATE. CRANE OPERATOR WITH MAIN BOOM AND JIB 400' OR LONGER: $3.00 PER HOUR ABOVE THE GROUP 1 RATE. PAID HOLIDAYS: NEW YEAR'S DAY, MEMORIAL DAY, FOURTH OF JULY, LABOR DAY, THANKSGIVING DAY AND CHRISTMAS DAY	$ 45.68	25.25
OPERATOR: POWER EQUIPMENT: GROUP 1 CRANE OPERATOR WITH MAIN BOOM AND JIB 400', 300', OR 220' OR LONGER. FOOTNOTES: CRANE OPERATOR WITH MAIN BOOM AND JIB 300' OR LONGER: $1.50 PER HOUR ABOVE THE GROUP 1 RATE. CRANE OPERATOR WITH MAIN BOOM AND		

JIB 400' OR LONGER: $3.00 PER HOUR ABOVE THE GROUP 1 RATE. PAID HOLIDAYS: NEW YEAR'S DAY, MEMORIAL DAY, FOURTH OF JULY, LABOR DAY, THANKSGIVING DAY AND CHRISTMAS DAY.....$ 48.98 25.25

IRON0025-004 06/01/2025

	Rates	Fringes
IRONWORKER, STRUCTURAL	$ 36.55	35.93

LABO0334-020 06/01/2025

	Rates	Fringes
LANDSCAPE LABORER: GROUP 2 LANDSCAPE LABORER: SMALL POWER TOOL OPERATOR, MATERIAL MOVER, TRUCK DRIVER AND LAWN SPRINKLER INSTALLER TENDER	$ 27.71	9.10
LANDSCAPE LABORER: GROUP 1 LANDSCAPE SPECIALIST, INCLUDING AIR, GAS AND DIESEL EQUIPMENT OPERATOR, LAWN SPRINKLER INSTALLER, SKIDSTEER (OR EQUIVALENT)	$ 29.93	9.10

LABO1075-001 06/01/2025

	Rates	Fringes
LABORER: COMMON OR GENERAL; MASON TENDER - CEMENT/CONCRETE	$ 29.46	16.10

PAIN1052-006 02/01/2013

	Rates	Fringes
PAINTER: BRUSH AND ROLLER	$ 22.25	11.10

PLUM0370-001 06/01/2018

	Rates	Fringes
PLUMBER (EXCLUDING HVAC PIPE INSTALLATION)	$ 26.46	20.60
PIPEFITTER (HVAC PIPE INSTALLATION ONLY)	$ 26.46	20.60

ROOF0149-019 06/01/2024

	Rates	Fringes
ROOFER	$ 30.38	19.87

SHEE0007-002 05/01/2018

	Rates	Fringes
SHEET METAL WORKER, INCLUDES HVAC DUCT AND UNIT INSTALLATION	$ 24.88	8.76

SUMI2010-011 09/16/2010

	Rates	Fringes
TRUCK DRIVER: DUMP TRUCK	$ 17.00	5.71
LABORER: PIPELAYER	$ 17.99	5.46
CEMENT MASON/CONCRETE FINISHER	$ 19.27	5.85

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide

employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at https://www.dol.gov/agencies/whd/government-contracts.

Note: Executive Order 13658 generally applies to contracts subject to the Davis-Bacon Act that were awarded on or between January 1, 2015 and January 29, 2022, and that have not been renewed or extended on or after January 30, 2022. Executive Order 13658 does not apply to contracts subject only to the Davis-Bacon Related Acts regardless of when they were awarded. If a contract is subject to Executive Order 13658, the contractor must pay all covered workers at least $13.65 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract from May 11, 2026, through December 31, 2026. The applicable Executive Order minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under Executive Order 13658 is available at www.dol.gov/whd/govcontracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (iii)).

The body of each wage determination lists the classifications and wage rates that have been found to be prevailing for the type(s) of construction and geographic area covered by the wage determination. The classifications are listed in alphabetical order under rate identifiers indicating whether the particular rate is a union rate (current union negotiated rate), a survey rate, a weighted union average rate, a state adopted rate, or a supplemental classification rate.

Union Rate Identifiers

A four-letter identifier beginning with characters other than ◆SU◆, ◆UAVG◆, ◆SA◆, or ◆SC◆ denotes that a union rate was prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2024. PLUM is an identifier of the union whose collectively bargained rate prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2024 in the example, is the effective date of the most current negotiated rate.

Union prevailing wage rates are updated to reflect all changes over time that are reported to WHD in the rates in the collective bargaining agreement (CBA) governing the classification.

Union Average Rate Identifiers

The UAVG identifier indicates that no single rate prevailed for those classifications, but that 100% of the data reported for the classifications reflected union rates. EXAMPLE: UAVG-OH-0010 01/01/2024. UAVG indicates that the rate is a weighted union average rate. OH indicates the State of Ohio. The next number, 0010 in the example, is an internal number used in producing the wage determination. The date, 01/01/2024 in the example, indicates the date the wage determination was updated to reflect the most current union average rate.

A UAVG rate will be updated once a year, usually in January, to reflect a weighted average of the current rates in the collective bargaining agreements on which the rate is based.

Survey Rate Identifiers

The "SU" identifier indicates that either a single non-union rate prevailed (as defined in 29 CFR 1.2) for this classification in the survey or that the rate was derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As a weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SUFL2022-007 6/27/2024. SU indicates the rate is a single non-union prevailing rate or a weighted average of survey data for that classification. FL indicates the State of Florida. 2022 is the year of the survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. The date, 6/27/2024 in the example, indicates the survey completion date for the classifications and rates under that identifier.

"SU" wage rates typically remain in effect until a new survey is conducted. However, the Wage and Hour Division (WHD) has the discretion to update such rates under 29 CFR 1.6(c)(1).

State Adopted Rate Identifiers

The "SA" identifier indicates that the classifications and prevailing wage rates set by a state (or local) government were adopted under 29 C.F.R 1.3(g)-(h). Example: SAME2023-007 01/03/2024. SA reflects that the rates are state adopted. ME refers to the State of Maine. 2023 is the year during which the state completed the survey on which the listed classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. The date, 01/03/2024 in the example, reflects the date on which the classifications and rates under the "SA" identifier took effect under state law in the state from which the rates were adopted.

WAGE DETERMINATION APPEALS PROCESS

1) Has there been an initial decision in the matter? This can be:

a) a survey underlying a wage determination
b) an existing published wage determination
c) an initial WHD letter setting forth a position on a wage determination matter
d) an initial conformance (additional classification and rate) determination

On survey related matters, initial contact, including requests for summaries of surveys, should be directed to the WHD Branch of Wage Surveys. Requests can be submitted via email to davisbaconinfo@dol.gov or by mail to:

Branch of Wage Surveys

Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

Regarding any other wage determination matter such as conformance decisions, requests for initial decisions should be directed to the WHD Branch of Construction Wage Determinations. Requests can be submitted via email to BCWD-Office@dol.gov or by mail to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2) If an initial decision has been issued, then any interested party (those affected by the action) that disagrees with the decision can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Requests for review and reconsideration can be submitted via email to dba.reconsideration@dol.gov or by mail to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210.

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END OF GENERAL DECISION

"

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Instructions to Bidders for Contracts Public and Indian Housing Programs

Instructions to Bidders for Contracts

Public and Indian Housing Programs

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1. Bid Preparation and Submission

(a) Bidders are expected to examine the specifications, drawings, all instructions, and, if applicable, the construction site (see also the contract clause entitled **Site Investigation and Conditions Affecting the Work** of the *General Conditions of the Contract for Construction*). Failure to do so will be at the bidders' risk.

(b) All bids must be submitted on the forms provided by the Public Housing Agency/Indian Housing Authority (PHA/IHA). Bidders shall furnish all the information required by the solicitation. Bids must be signed and the bidder's name typed or printed on the bid sheet and each continuation sheet which requires the entry of information by the bidder. Erasures or other changes must be initialed by the person signing the bid. Bids signed by an agent shall be accompanied by evidence of that agent's authority. (Bidders should retain a copy of their bid for their records.)

(c) Bidders must submit as part of their bid a completed form HUD-5369-A, "Representations, Certifications, and Other Statements of Bidders."

(d) All bid documents shall be sealed in an envelope which shall be clearly marked with the words "Bid Documents," the Invitation for Bids (IFB) number, any project or other identifying number, the bidder's name, and the date and time for receipt of bids.

(e) If this solicitation requires bidding on all items, failure to do so will disqualify the bid. If bidding on all items is not required, bidders should insert the words "No Bid" in the space provided for any item on which no price is submitted.

(f) Unless expressly authorized elsewhere in this solicitation, alternate bids will not be considered.

(g) Unless expressly authorized elsewhere in this solicitation, bids submitted by telegraph or facsimile (fax) machines will not be considered.

(h) If the proposed contract is for a Mutual Help project (as described in 24 CFR Part 905, Subpart E) that involves Mutual Help contributions of work, material, or equipment, supplemental information regarding the bid advertisement is provided as an attachment to this solicitation.

2. Explanations and Interpretations to Prospective Bidders

(a) Any prospective bidder desiring an explanation or interpretation of the solicitation, specifications, drawings, etc., must request it at least 7 days before the scheduled time for bid opening. Requests may be oral or written. Oral requests must be confirmed in writing. The only oral clarifications that will be provided will be those clearly related to solicitation procedures, i.e., not substantive technical information. No other oral explanation or interpretation will be provided. Any information given a prospective bidder concerning this solicitation will be furnished promptly to all other prospective bidders as a written amendment to the solicitation, if that information is necessary in submitting bids, or if the lack of it would be prejudicial to other prospective bidders.

(b) Any information obtained by, or provided to, a bidder other than by formal amendment to the solicitation shall not constitute a change to the solicitation.

3. Amendments to Invitations for Bids

(a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.

(b) Bidders shall acknowledge receipt of any amendment to this solicitation (1) by signing and returning the amendment, (2) by identifying the amendment number and date on the bid form, or (3) by letter, telegram, or facsimile, if those methods are authorized in the solicitation. The PHA/IHA must receive acknowledgement by the time and at the place specified for receipt of bids. Bids which fail to acknowledge the bidder's receipt of any amendment will result in the rejection of the bid if the amendment(s) contained information which substantively changed the PHA's/IHA's requirements.

(c) Amendments will be on file in the offices of the PHA/IHA and the Architect at least 7 days before bid opening.

4. Responsibility of Prospective Contractor

(a) The PHA/IHA will award contracts only to responsible prospective contractors who have the ability to perform successfully under the terms and conditions of the proposed contract. In determining the responsibility of a bidder, the PHA/IHA will consider such matters as the bidder's:

(1) Integrity;

(2) Compliance with public policy;

(3) Record of past performance; and

(4) Financial and technical resources (including construction and technical equipment).

(b) Before a bid is considered for award, the bidder may be requested by the PHA/IHA to submit a statement or other documentation regarding any of the items in paragraph (a) above. Failure by the bidder to provide such additional information shall render the bidder nonresponsible and ineligible for award.

5. Late Submissions, Modifications, and Withdrawal of Bids

(a) Any bid received at the place designated in the solicitation after the exact time specified for receipt will not be considered unless it is received before award is made and it:

(1) Was sent by registered or certified mail not later than the fifth calendar day before the date specified for receipt of offers (e.g., an offer submitted in response to a solicitation requiring receipt of offers by the 20th of the month must have been mailed by the 15th);

(2) Was sent by mail, or if authorized by the solicitation, was sent by telegram or via facsimile, and it is determined by the PHA/IHA that the late receipt was due solely to mishandling by the PHA/IHA after receipt at the PHA/IHA; or

(3) Was sent by U.S. Postal Service Express Mail Next Day Service - Post Office to Addressee, not later than 5:00 p.m. at the place of mailing two working days prior to the date specified for receipt of proposals. The term "working days" excludes weekends and observed holidays.

(b) Any modification or withdrawal of a bid is subject to the same conditions as in paragraph (a) of this provision.

(c) The only acceptable evidence to establish the date of mailing of a late bid, modification, or withdrawal sent either by registered or certified mail is the U.S. or Canadian Postal Service postmark both on the envelope or wrapper and on the original receipt from the U.S. or Canadian Postal Service. Both postmarks must show a legible date or the bid, modification, or withdrawal shall be processed as if mailed late. "Postmark" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable without further action as having been supplied and affixed by employees of the U.S. or Canadian Postal Service on the date of mailing. Therefore, bidders should request the postal clerk to place a hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.

(d) The only acceptable evidence to establish the time of receipt at the PHA/IHA is the time/date stamp of PHA/IHA on the proposal wrapper or other documentary evidence of receipt maintained by the PHA/IHA.

(e) The only acceptable evidence to establish the date of mailing of a late bid, modification, or withdrawal sent by Express Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on both the envelope or wrapper and on the original receipt from the U.S. Postal Service. "Postmark" has the same meaning as defined in paragraph (c) of this provision, excluding postmarks of the Canadian Postal Service. Therefore, bidders should request the postal clerk to place a legible hand cancellation bull's eye postmark on both the receipt and Failure by a bidder to acknowledge receipt of the envelope or wrapper.

(f) Notwithstanding paragraph (a) of this provision, a late modification of an otherwise successful bid that makes its terms more favorable to the PHA/IHA will be considered at any time it is received and may be accepted.

(g) Bids may be withdrawn by written notice, or if authorized by this solicitation, by telegram (including mailgram) or facsimile machine transmission received at any time before the exact time set for opening of bids; provided that written confirmation of telegraphic or facsimile withdrawals over the signature of the bidder is mailed and postmarked prior to the specified bid opening time. A bid may be withdrawn in person by a bidder or its authorized representative if, before the exact time set for opening of bids, the identity of the person requesting withdrawal is established and the person signs a receipt for the bid.

6. Bid Opening

All bids received by the date and time of receipt specified in the solicitation will be publicly opened and read. The time and place of opening will be as specified in the solicitation. Bidders and other interested persons may be present.

7. Service of Protest

(a) Definitions. As used in this provision:

"Interested party" means an actual or prospective bidder whose direct economic interest would be affected by the award of the contract.

"Protest" means a written objection by an interested party to this solicitation or to a proposed or actual award of a contract pursuant to this solicitation.

(b) Protests shall be served on the Contracting Officer by obtaining written and dated acknowledgement from —

[Contracting Officer designate the official or location where a protest may be served on the Contracting Officer]

(c) All protests shall be resolved in accordance with the PHA's/IHA's protest policy and procedures, copies of which are maintained at the PHA/IHA.

8. Contract Award

(a) The PHA/IHA will evaluate bids in response to this solicitation without discussions and will award a contract to the responsible bidder whose bid, conforming to the solicitation, will be most advantageous to the PHA/IHA considering only price and any price-related factors specified in the solicitation.

(b) If the apparent low bid received in response to this solicitation exceeds the PHA's/IHA's available funding for the proposed contract work, the PHA/IHA may either accept separately priced items (see 8(e) below) or use the following procedure to determine contract award. The PHA/IHA shall apply in turn to each bid (proceeding in order from the apparent low bid to the high bid) each of the separately priced bid deductible items, if any, in their priority order set forth in this solicitation. If upon the application of the first deductible item to all initial bids, a new low bid is within the PHA's/IHA's available funding, then award shall be made to that bidder. If no bid is within the available funding amount, then the PHA/IHA shall apply the second deductible item. The PHA/IHA shall continue this process until an evaluated low bid, if any, is within the PHA's/IHA's available funding. If upon the application of all deductibles, no bid is within the PHA's/IHA's available funding, or if the solicitation does not request separately priced deductibles, the PHA/IHA shall follow its written policy and procedures in making any award under this solicitation.

(c) In the case of tie low bids, award shall be made in accordance with the PHA's/IHA's written policy and procedures.

(d) The PHA/IHA may reject any and all bids, accept other than the lowest bid (e.g., the apparent low bid is unreasonably low), and waive informalities or minor irregularities in bids received, in accordance with the PHA's/IHA's written policy and procedures.

(e) Unless precluded elsewhere in the solicitation, the PHA/IHA may accept any item or combination of items bid.

(f) The PHA/IHA may reject any bid as nonresponsive if it is materially unbalanced as to the prices for the various items of work to be performed. A bid is materially unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated for other work.

(g) A written award shall be furnished to the successful bidder within the period for acceptance specified in the bid and shall result in a binding contract without further action by either party.

9. Bid Guarantee (applicable to construction and equipment contracts exceeding $25,000)

All bids must be accompanied by a negotiable bid guarantee which shall not be less than five percent (5%) of the amount of the bid. The bid guarantee may be a certified check, bank draft, U.S. Government Bonds at par value, or a bid bond secured by a surety company acceptable to the U.S. Government and authorized to do business in the state where the work is to be performed. In the case where the work under the contract will be performed on an Indian reservation area, the bid guarantee may also be an irrevocable Letter of Credit (see provision 10, Assurance of Completion, below). Certified checks and bank drafts must be made payable to the order of the PHA/IHA. The bid guarantee shall insure the execution of the contract and the furnishing of a method of assurance of completion by the successful bidder as required by the solicitation. Failure to submit a bid guarantee with the bid shall result in the rejection of the bid. Bid guarantees submitted by unsuccessful bidders will be returned as soon as practicable after bid opening.

10. Assurance of Completion

(a) Unless otherwise provided in State law, the successful bidder shall furnish an assurance of completion prior to the execution of any contract under this solicitation. This assurance may be [Contracting Officer check applicable items] —

[ ] (1) a performance and payment bond in a penal sum of 100 percent of the contract price; or, as may be required or permitted by State law;

[ ] (2) separate performance and payment bonds, each for 50 percent or more of the contract price;

[ ] (3) a 20 percent cash escrow;

[ ] (4) a 25 percent irrevocable letter of credit; or,

[ ] (5) an irrevocable letter of credit for 10 percent of the total contract price with a monitoring and disbursements agreement with the IHA (applicable only to contracts awarded by an IHA under the Indian Housing Program).

(b) Bonds must be obtained from guarantee or surety companies acceptable to the U.S. Government and authorized to do business in the state where the work is to be performed. Individual sureties will not be considered. U.S. Treasury Circular Number 570, published annually in the Federal Register, lists companies approved to act as sureties on bonds securing Government contracts, the maximum underwriting limits on each contract bonded, and the States in which the company is licensed to do business. Use of companies listed in this circular is mandatory. Copies of the circular may be downloaded on the U.S. Department of Treasury website **http://www.fms.treas.gov/c570/index.html**, or ordered for a minimum fee by contacting the Government Printing Office at (202) 512-2168.

(c) Each bond shall clearly state the rate of premium and the total amount of premium charged. The current power of attorney for the person who signs for the surety company must be attached to the bond. The effective date of the power of attorney shall not precede the date of the bond. The effective date of the bond shall be on or after the execution date of the contract.

(d) Failure by the successful bidder to obtain the required assurance of completion within the time specified, or within such extended period as the PHA/IHA may grant based upon reasons determined adequate by the PHA/IHA, shall render the bidder ineligible for award. The PHA/IHA may then either award the contract to the next lowest responsible bidder or solicit new bids. The PHA/IHA may retain the ineligible bidder's bid guarantee.

11. Preconstruction Conference (applicable to construction contracts)

After award of a contract under this solicitation and prior to the start of work, the successful bidder will be required to attend a preconstruction conference with representatives of the PHA/IHA and its architect/engineer, and other interested parties convened by the PHA/IHA. The conference will serve to acquaint the participants with the general plan of the construction operation and all other requirements of the contract (e.g., Equal Employment Opportunity, Labor Standards). The PHA/IHA will provide the successful bidder with the date, time, and place of the conference.

12. Indian Preference Requirements (applicable only if this solicitation is for a contract to be performed on a project for an Indian Housing Authority)

(a) HUD has determined that the contract awarded under this solicitation is subject to the requirements of section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e(b)). Section 7(b) requires that any contract or subcontract entered into for the benefit of Indians shall require that, to the greatest extent feasible

(1) Preferences and opportunities for training and employment (other than core crew positions; see paragraph (h) below) in connection with the administration of such contracts or subcontracts be given to qualified "Indians." The Act defines "Indians" to mean persons who are members of an Indian tribe and defines "Indian tribe" to mean any Indian tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians; and,

(2) Preference in the award of contracts or subcontracts in connection with the administration of contracts be given to Indian organizations and to Indian-owned economic enterprises, as defined in section 3 of the Indian Financing Act of 1974 (25 U.S.C. 1452). That Act defines "economic enterprise" to mean any Indian-owned commercial, industrial, or business activity established or organized for the purpose of profit, except that the Indian ownership must constitute not less than 51 percent of the enterprise; "Indian organization" to mean the governing body of any Indian tribe or entity established or recognized by such governing body; "Indian" to mean any person who is a member of any tribe, band, group, pueblo, or community which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs and any "Native" as defined in the Alaska Native Claims Settlement Act; and Indian "tribe" to mean any Indian tribe, band, group, pueblo, or community including Native villages and Native groups (including

corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs.

(b) (1) The successful Contractor under this solicitation shall comply with the requirements of this provision in awarding all subcontracts under the contract and in providing training and employment opportunities.

(2) A finding by the IHA that the contractor, either (i) awarded a subcontract without using the procedure required by the IHA, (ii) falsely represented that subcontracts would be awarded to Indian enterprises or organizations; or, (iii) failed to comply with the contractor's employment and training preference bid statement shall be grounds for termination of the contract or for the assessment of penalties or other remedies.

(c) If specified elsewhere in this solicitation, the IHA may restrict the solicitation to qualified Indian-owned enterprises and Indian organizations. If two or more (or a greater number as specified elsewhere in the solicitation) qualified Indian-owned enterprises or organizations submit responsive bids, award shall be made to the qualified enterprise or organization with the lowest responsive bid. If fewer than the minimum required number of qualified Indian-owned enterprises or organizations submit responsive bids, the IHA shall reject all bids and readvertise the solicitation in accordance with paragraph (d) below.

(d) If the IHA prefers not to restrict the solicitation as described in paragraph (c) above, or if after having restricted a solicitation an insufficient number of qualified Indian enterprises or organizations submit bids, the IHA may advertise for bids from non-Indian as well as Indian-owned enterprises and Indian organizations. Award shall be made to the qualified Indian enterprise or organization with the lowest responsive bid if that bid is -

(1) Within the maximum HUD-approved budget amount established for the specific project or activity for which bids are being solicited; and

(2) No more than the percentage specified in 24 CFR 905.175(c) higher than the total bid price of the lowest responsive bid from any qualified bidder. If no responsive bid by a qualified Indian-owned economic enterprise or organization is within the stated range of the total bid price of the lowest responsive bid from any qualified enterprise, award shall be made to the bidder with the lowest bid.

(e) Bidders seeking to qualify for preference in contracting or subcontracting shall submit proof of Indian ownership with their bids. Proof of Indian ownership shall include but not be limited to:

(1) Certification by a tribe or other evidence that the bidder is an Indian. The IHA shall accept the certification of a tribe that an individual is a member.

(2) Evidence such as stock ownership, structure, management, control, financing and salary or profit sharing arrangements of the enterprise.

(f) (1) All bidders must submit with their bids a statement describing how they will provide Indian preference in the award of subcontracts. The specific requirements of that statement and the factors to used by the IHA in determining the statement's adequacy are included as an attachment to this solicitation. Any bid that fails to include the required statement shall be rejected as nonresponsive. The IHA may require that comparable statements be provided by subcontractors to the successful Contractor, and may require the Contractor to reject any bid or proposal by a subcontractor that fails to include the statement.

(2) Bidders and prospective subcontractors shall submit a certification (supported by credible evidence) to the IHA in any instance where the bidder or subcontractor believes it is infeasible to provide Indian preference in subcontracting. The acceptance or rejection by the IHA of the certification shall be final. Rejection shall disqualify the bid from further consideration.

(g) All bidders must submit with their bids a statement detailing their employment and training opportunities and their plans to provide preference to Indians in implementing the contract; and the number or percentage of Indians anticipated to be employed and trained. Comparable statements from all proposed subcontractors must be submitted. The criteria to be used by the IHA in determining the statement(s)'s adequacy are included as an attachment to this solicitation. Any bid that fails to include the required statement(s), or that includes a statement that does not meet minimum standards required by the IHA shall be rejected as nonresponsive.

(h) Core crew employees. A core crew employee is an individual who is a bona fide employee of the contractor at the time the bid is submitted; or an individual who was not employed by the bidder at the time the bid was submitted, but who is regularly employed by the bidder in a supervisory or other key skilled position when work is available. Bidders shall submit with their bids a list of all core crew employees.

(i) Preference in contracting, subcontracting, employment, and training shall apply not only on-site, on the reservation, or within the IHA's jurisdiction, but also to contracts with firms that operate outside these areas (e.g., employment in modular or manufactured housing construction facilities).

(j) Bidders should contact the IHA to determine if any additional local preference requirements are applicable to this solicitation.

(k) The IHA [ ] does [ ] does not [Contracting Officer check applicable box] maintain lists of Indian-owned economic enterprises and Indian organizations by specialty (e.g., plumbing, electrical, foundations), which are available to bidders to assist them in meeting their responsibility to provide preference in connection with the administration of contracts and subcontracts.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Representations, Certifications, and Other Statements of Bidders

Public and Indian Housing Programs

Representations, Certifications, and Other Statements of Bidders

Public and Indian Housing Programs

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1. Certificate of Independent Price Determination

(a) The bidder certifies that--

(1) The prices in this bid have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other bidder or competitor relating to (i) those prices, (ii) the intention to submit a bid, or (iii) the methods or factors used to calculate the prices offered;

(2) The prices in this bid have not been and will not be knowingly disclosed by the bidder, directly or indirectly, to any other bidder or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a competitive proposal solicitation) unless otherwise required by law; and

(3) No attempt has been made or will be made by the bidder to induce any other concern to submit or not to submit a bid for the purpose of restricting competition.

(b) Each signature on the bid is considered to be a certification by the signatory that the signatory--

(1) Is the person in the bidder's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(l) through (a)(3) above; or

(2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(l) through (a)(3) above.

_____ [insert full name of person(s) in the bidder's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the bidder's organization];

(ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

(iii) As an agent, has not personally participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.

(c) If the bidder deletes or modifies subparagraph (a)2 above, the bidder must furnish with its bid a signed statement setting forth in detail the circumstances of the disclosure.

[ ] [Contracting Officer check if following paragraph is applicable]

(d) Non-collusive affidavit. (applicable to contracts for construction and equipment exceeding $50,000)

(1) Each bidder shall execute, in the form provided by the PHA/IHA, an affidavit to the effect that he/she has not colluded with any other person, firm or corporation in regard to any bid submitted in response to this solicitation. If the successful bidder did not submit the affidavit with his/her bid, he/she must submit it within three (3) working days of bid opening. Failure to submit the affidavit by that date may render the bid nonresponsive. No contract award will be made without a properly executed affidavit.

(2) A fully executed "Non-collusive Affidavit" [ ] is, [ ] is not included with the bid.

2. Contingent Fee Representation and Agreement

(a) Definitions. As used in this provision:

"Bona fide employee" means a person, employed by a bidder and subject to the bidder's supervision and control as to time, place, and manner of performance, who neither exerts, nor proposes to exert improper influence to solicit or obtain contracts nor holds out as being able to obtain any contract(s) through improper influence.

"Improper influence" means any influence that induces or tends to induce a PHA/IHA employee or officer to give consideration or to act regarding a PHA/IHA contract on any basis other than the merits of the matter.

(b) The bidder represents and certifies as part of its bid that, except for full-time bona fide employees working solely for the bidder, the bidder:

(1) [ ] has, [ ] has not employed or retained any person or company to solicit or obtain this contract; and

(2) [ ] has, [ ] has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.

(c) If the answer to either (a)(1) or (a)(2) above is affirmative, the bidder shall make an immediate and full written disclosure to the PHA/IHA Contracting Officer.

(d) Any misrepresentation by the bidder shall give the PHA/IHA the right to (1) terminate the contract; (2) at its discretion, deduct from contract payments the amount of any commission, percentage, brokerage, or other contingent fee; or (3) take other remedy pursuant to the contract.

3. Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions (applicable to contracts exceeding $100,000)

(a) The definitions and prohibitions contained in Section 1352 of title 31, United States Code, are hereby incorporated by reference in paragraph (b) of this certification.

(b) The bidder, by signing its bid, hereby certifies to the best of his or her knowledge and belief as of December 23, 1989 that:

(1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of a contract resulting from this solicitation;

(2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the bidder shall complete and submit, with its bid, OMB standard form LLL, "Disclosure of Lobbying Activities;" and

(3) He or she will include the language of this certification in all subcontracts at any tier and require that all recipients of subcontract awards in excess of $100,000 shall certify and disclose accordingly.

(c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than $10,000, and not more than $100,000, for each such failure.

(d) Indian tribes (except those chartered by States) and Indian organizations as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) are exempt from the requirements of this provision.

4. Organizational Conflicts of Interest Certification

The bidder certifies that to the best of its knowledge and belief and except as otherwise disclosed, he or she does not have any organizational conflict of interest which is defined as a situation in which the nature of work to be performed under this proposed contract and the bidder's organizational, financial, contractual, or other interests may, without some restriction on future activities:

(a) Result in an unfair competitive advantage to the bidder; or,

(b) Impair the bidder's objectivity in performing the contract work.

[ ] In the absence of any actual or apparent conflict, I hereby certify that to the best of my knowledge and belief, no actual or apparent conflict of interest exists with regard to my possible performance of this procurement.

5. Bidder's Certification of Eligibility

(a) By the submission of this bid, the bidder certifies that to the best of its knowledge and belief, neither it, nor any person or firm which has an interest in the bidder's firm, nor any of the bidder's subcontractors, is ineligible to:

(1) Be awarded contracts by any agency of the United States Government, HUD, or the State in which this contract is to be performed; or,

(2) Participate in HUD programs pursuant to 24 CFR Part 24.

(b) The certification in paragraph (a) above is a material representation of fact upon which reliance was placed when making award. If it is later determined that the bidder knowingly rendered an erroneous certification, the contract may be terminated for default, and the bidder may be debarred or suspended from participation in HUD programs and other Federal contract programs.

6. Minimum Bid Acceptance Period

(a) "Acceptance period," as used in this provision, means the number of calendar days available to the PHA/IHA for awarding a contract from the date specified in this solicitation for receipt of bids.

(b) This provision supersedes any language pertaining to the acceptance period that may appear elsewhere in this solicitation.

(c) The PHA/IHA requires a minimum acceptance period of [Contracting Officer insert time period] calendar days.

(d) In the space provided immediately below, bidders may specify a longer acceptance period than the PHA's/IHA's minimum requirement. The bidder allows the following acceptance period: calendar days.

(e) A bid allowing less than the PHA's/IHA's minimum acceptance period will be rejected.

(f) The bidder agrees to execute all that it has undertaken to do, in compliance with its bid, if that bid is accepted in writing within (1) the acceptance period stated in paragraph (c) above or (2) any longer acceptance period stated in paragraph (d) above.

7. Small, Minority, Women-Owned Business Concern Representation

The bidder represents and certifies as part of its bid/ offer that it --

(a) [ ] is, [ ] is not a small business concern. "Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding, and qualified as a small business under the criteria and size standards in 13 CFR 121.

(b) [ ] is, [ ] is not a women-owned business enterprise. "Women-owned business enterprise," as used in this provision, means a business that is at least 51 percent owned by a woman or women who are U.S. citizens and who also control and operate the business.

(c) [ ] is, [ ] is not a minority business enterprise. "Minority business enterprise," as used in this provision, means a business which is at least 51 percent owned or controlled by one or more minority group members or, in the case of a publicly owned business, at least 51 percent of its voting stock is owned by one or more minority group members, and whose management and daily operations are controlled by one or more such individuals. For the purpose of this definition, minority group members are:

(Check the block applicable to you)

[ ] Black Americans	[ ] Asian Pacific Americans
[ ] Hispanic Americans	[ ] Asian Indian Americans
[ ] Native Americans	[ ] Hasidic Jewish Americans

8. Indian-Owned Economic Enterprise and Indian Organization Representation (applicable only if this solicitation is for a contract to be performed on a project for an Indian Housing Authority)

The bidder represents and certifies that it:

(a) [ ] is, [ ] is not an Indian-owned economic enterprise. "Economic enterprise," as used in this provision, means any commercial, industrial, or business activity established or organized for the purpose of profit, which is at least 51 percent Indian owned. "Indian," as used in this provision, means any person who is a member of any tribe, band, group, pueblo, or community which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs and any "Native" as defined in the Alaska Native Claims Settlement Act.

(b) [ ] is, [ ] is not an Indian organization. "Indian organization," as used in this provision, means the governing body of any Indian tribe or entity established or recognized by such governing body. Indian "tribe" means any Indian tribe, band, group, pueblo, or

community including Native villages and Native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs.

9. Certification of Eligibility Under the Davis-Bacon Act (applicable to construction contracts exceeding $2,000)

(a) By the submission of this bid, the bidder certifies that neither it nor any person or firm who has an interest in the bidder's firm is a person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(b) No part of the contract resulting from this solicitation shall be subcontracted to any person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(c) The penalty for making false statements is prescribed in the U. S. Criminal Code, 18 U.S.C. 1001.

10. Certification of Nonsegregated Facilities (applicable to contracts exceeding $10,000)

(a) The bidder's attention is called to the clause entitled **Equal Employment Opportunity** of the General Conditions of the Contract for Construction.

(b) "Segregated facilities," as used in this provision, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin because of habit, local custom, or otherwise.

(c) By the submission of this bid, the bidder certifies that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The bidder agrees that a breach of this certification is a violation of the Equal Employment Opportunity clause in the contract.

(d) The bidder further agrees that (except where it has obtained identical certifications from proposed subcontractors for specific time periods) prior to entering into subcontracts which exceed $10,000 and are not exempt from the requirements of the Equal Employment Opportunity clause, it will:

(1) Obtain identical certifications from the proposed subcontractors;

(2) Retain the certifications in its files; and

(3) Forward the following notice to the proposed subcontractors (except if the proposed subcontractors have submitted identical certifications for specific time periods):

Notice to Prospective Subcontractors of Requirement for Certifications of Nonsegregated Facilities

A Certification of Nonsegregated Facilities must be submitted before the award of a subcontract exceeding $10,000 which is not exempt from the provisions of the Equal Employment Opportunity clause of the prime contract. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e., quarterly, semiannually, or annually).

Note: The penalty for making false statements in bids is prescribed in 18 U.S.C. 1001.

11. Clean Air and Water Certification (applicable to contracts exceeding $100,000)

The bidder certifies that:

(a) Any facility to be used in the performance of this contract [ ] is, [ ] is not listed on the Environmental Protection Agency List of Violating Facilities:

(b) The bidder will immediately notify the PHA/IHA Contracting Officer, before award, of the receipt of any communication from the Administrator, or a designee, of the Environmental Protection Agency, indicating that any facility that the bidder proposes to use for the performance of the contract is under consideration to be listed on the EPA List of Violating Facilities; and,

(c) The bidder will include a certification substantially the same as this certification, including this paragraph (c), in every nonexempt subcontract.

12. Previous Participation Certificate (applicable to construction and equipment contracts exceeding $50,000)

(a) The bidder shall complete and submit with his/her bid the Form HUD-2530, "Previous Participation Certificate." If the successful bidder does not submit the certificate with his/her bid, he/she must submit it within three (3) working days of bid opening. Failure to submit the certificate by that date may render the bid nonresponsive. No contract award will be made without a properly executed certificate.

(b) A fully executed "Previous Participation Certificate"

[ ] is, [ ] is not included with the bid.

13. Bidder's Signature

The bidder hereby certifies that the information contained in these certifications and representations is accurate, complete, and current.

_____
(Signature and Date)

_____
(Typed or Printed Name)

_____
(Title)

_____
(Company Name)

(Company Address)

General Conditions for Construction Contracts - Public Housing Programs

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB Approval No. 2577-0157 (exp. 1/31/2027)

Applicability. This form is applicable to any construction/development contract greater than $250,000.

Public reporting burden for this collection of information is estimated to average 1.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Comments regarding the accuracy of this burden estimate and any suggestions for reducing this burden can be sent to the Reports Management Officer, Office of Policy Development and Research, REE, Department of Housing and Urban Development, 451 7th St SW, Room 4176, Washington, DC 20410-5000. When providing comments, please refer to OMB Approval No. 2577-0157. This form includes those clauses required by OMB's common rule on grantee procurement, implemented at HUD in 2 CFR 200, and those requirements set forth in Section 3 of the Housing and Urban Development Act of 1968 and its amendment by the Housing and Community Development Act of 1992, implemented by HUD at 24 CFR Part 75. The form is required for construction contracts awarded by Public Housing Agencies (PHAs). The form is used by Housing Authorities in solicitations to provide necessary contract clauses. If the form were not used, PHAs would be unable to enforce their contracts. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality. HUD may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB number.

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1. Definitions

(a) "Architect" means the person or other entity engaged by the PHA to perform architectural, engineering, design, and other services related to the work as provided for in the contract. When a PHA uses an engineer to act in this capacity, the terms "architect" and "engineer" shall be synonymous. The Architect shall serve as a technical representative of the Contracting Officer. The Architect's authority is as set forth elsewhere in this contract.

(b) "Contract" means the contract entered into between the PHA and the Contractor. It includes the forms of Bid, the Bid Bond, the Performance and Payment Bond or Bonds or other assurance of completion, the Certifications, Representations, and Other Statements of Bidders (form HUD-5370), these General Conditions of the Contract for Construction (form HUD-5370), the applicable wage rate determinations from the U.S. Department of Labor, any special conditions included elsewhere in the contract, the specifications, and drawings. It includes all formal changes to any of those documents by addendum, change order, or other modification.

(c) "Contracting Officer" means the person delegated the authority by the PHA to enter into, administer, and/or terminate this contract and designated as such in writing to the Contractor. The term includes any successor Contracting Officer and any duly authorized representative of the Contracting Officer also designated in writing. The Contracting Officer shall be deemed the authorized agent of the PHA in all dealings with the Contractor.

(d) "Contractor" means the person or other entity entering into the contract with the PHA to perform all of the work required under the contract.

(e) "Drawings" means the drawings enumerated in the schedule of drawings contained in the Specifications and as described in the contract clause entitled Specifications and Drawings for Construction herein.

(f) "HUD" means the United States of America acting through the Department of Housing and Urban Development including the Secretary, or any other person designated to act on its behalf. HUD has agreed, subject to the provisions of an Annual Contributions Terms and Conditions (ACC), to provide financial assistance to the PHA, which includes assistance in financing the work to be performed under this contract. As defined elsewhere in these General Conditions or the contract documents, the determination of HUD may be required to authorize changes in the work or for release of funds to the PHA for payment to the Contractor. Notwithstanding HUD's role, nothing in this contract shall be construed to create any contractual relationship between the Contractor and HUD.

(g) "Project" means the entire project, whether construction or rehabilitation, the work for which is provided for in whole or in part under this contract.

(h) "PHA" means the Public Housing Agency organized under applicable state laws which is a party to this contract.

(j) "Specifications" means the written description of the technical requirements for construction and includes the criteria and tests for determining whether the requirements are met.

(l) "Work" means materials, workmanship, and manufacture and fabrication of components.

2. Contractor's Responsibility for Work

(a) The Contractor shall furnish all necessary labor, materials, tools, equipment, and transportation necessary for performance of the work. The Contractor shall also furnish all necessary water, heat, light, and power not made available to the Contractor by the PHA pursuant to the clause entitled Availability and Use of Utility Services herein.

(b) The Contractor shall perform on the site, and with its own organization, work equivalent to at least [ ] (12 percent unless otherwise indicated) of the total amount of work to be performed under the order. This percentage may be reduced by a supplemental agreement to this order if, during performing the work, the Contractor requests a reduction and the Contracting Officer determines that the reduction would be to the advantage of the PHA.

(c) At all times during performance of this contract and until the work is completed and accepted, the Contractor shall directly superintend the work or assign and have on the work site a competent superintendent who is satisfactory to the Contracting Officer and has authority to act for the Contractor.

(d) The Contractor shall be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence, and shall take proper safety and health precautions to protect the work, the workers, the public, and the property of others. The Contractor shall hold and save the PHA, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the entire work, except for any completed unit of work which may have been accepted under the contract.

(e) The Contractor shall lay out the work from base lines and bench marks indicated on the drawings and be responsible for all lines, levels, and measurements of all work executed under the contract. The Contractor shall verify the figures before laying out the work and will be held responsible for any error resulting from its failure to do so.

(f) The Contractor shall confine all operations (including storage of materials) on PHA premises to areas authorized or approved by the Contracting Officer.

(g) The Contractor shall at all times keep the work area, including storage areas, free from accumulations of waste materials. After completing the work and before final inspection, the Contractor shall (1) remove from the premises all scaffolding, equipment, tools, and materials (including rejected materials) that are not the property of the PHA and all rubbish caused by its work; (2) leave the work area in a clean, neat, and orderly condition satisfactory to the Contracting Officer; (3) perform all specified tests; and, (4) deliver the installation in complete and operating condition.

(h) The Contractor's responsibility will terminate when all work has been completed, the final inspection made, and the work accepted by the Contracting Officer. The Contractor will then be released from further obligation except as required by the warranties specified elsewhere in the contract.

3. Architect's Duties, Responsibilities, and Authority

(a) The Architect for this contract, and any successor, shall be designated in writing by the Contracting Officer.

(b) The Architect shall serve as the Contracting Officer's technical representative with respect to architectural, Schedule engineering, and design matters related to the work performed under the contract. The Architect may provide direction on contract performance. Such direction shall be within the scope of the contract and may not be of a nature which: (1) institutes additional work outside the scope of the contract; (2) constitutes a change as defined in the Changes clause herein; (3) causes an increase or decrease in the cost of the contract; (4) alters the Construction Progress Schedule; or (5) changes any of the other express terms or conditions of the contract.

(c) The Architect's duties and responsibilities may include but shall not be limited to:

(1) Making periodic visits to the work site, and on the basis of his/her on-site inspections, issuing written reports to the PHA which shall include all observed deficiencies. The Architect shall file a copy of the report with the Contractor's designated representative at the site;

(2) Making modifications in drawings and technical specifications and assisting the Contracting Officer in the preparation of change orders and other contract modifications for issuance by the Contracting Officer;

(3) Reviewing and making recommendations with respect to - (i) the Contractor's construction progress schedules; (ii) the Contractor's shop and detailed drawings; (iii) the machinery, mechanical and other equipment and materials or other articles proposed for use by the Contractor; and, (iv) the Contractor's price breakdown and progress payment estimates; and,

(4) Assisting in inspections, signing Certificates of Completion, and making recommendations with respect to acceptance of work completed under the contract.

4. Other Contracts

The PHA may undertake or award other contracts for additional work at or near the site of the work under this contract. The Contractor shall fully cooperate with the other contractors and with PHA employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or by PHA employees

Construction Requirements

5. Pre-construction Conference and Notice to Proceed

of the work, and that it has investigated and satisfied itself

(a) Within ten calendar days of contract execution, and prior to the commencement of work, the Contractor shall attend a preconstruction conference with representatives of the PHA, its Architect, and other interested parties convened by the PHA. The conference will serve to acquaint the participants with the general plan of the construction operation and all other requirements of the contract. The PHA will provide the Contractor with the date, time, and place of the conference.

(b) The contractor shall begin work upon receipt of a written Notice to Proceed from the Contracting Officer or designee. The Contractor shall not begin work prior to receiving such notice.

6. Construction Progress

(a) The Contractor shall, within five days after the work commences on the contract or another period of time determined by the Contracting Officer, prepare and submit to the Contracting Officer for approval three copies of a practicable schedule showing the order in which the Contractor proposes to perform the work, and the dates on which the Contractor contemplates starting and completing the several salient features of the work (including acquiring labor, materials, and equipment). The schedule shall be in the form of a progress chart of suitable scale to indicate appropriately the percentage of work scheduled for completion by any given date during the period. If the Contractor fails to submit a schedule within the time prescribed, the Contracting Officer may withhold approval of progress payments or take other remedies under the contract until the Contractor submits the required schedule.

(b) The Contractor shall enter the actual progress on the chart as required by the Contracting Officer, and immediately deliver three copies of the annotated schedule to the Contracting Officer. If the Contracting Officer determines, upon the basis of inspection conducted pursuant to the clause entitled Inspection and Acceptance of Construction, herein that the Contractor is not meeting the approved schedule, the Contractor shall take steps necessary to improve its progress, including those that may be required by the Contracting Officer, without additional cost to the PHA. In this circumstance, the Contracting Officer may require the Contractor to increase the number of shifts, overtime operations, days of work, and/or the amount of construction plant, and to submit for approval any supplementary schedule or schedules in chart form as the Contracting Officer deems necessary to demonstrate how the approved rate of progress will be regained.

(c) Failure of the Contractor to comply with the requirements of the Contracting Officer under this clause shall be grounds for a determination by the Contracting Officer that the Contractor is not prosecuting the work with sufficient diligence to ensure completion within the time specified in the Contract. Upon making this determination, the Contracting Officer may terminate the Contractor's right to proceed with the work, or any separable part of it, in accordance with the Default clause of this contract.

7. Site Investigation and Conditions Affecting the Work

(a) The Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location

as to the general and local conditions which can affect the work or its cost, including but not limited to, (1) conditions bearing upon transportation, disposal, handling, and storage of materials; (2) the availability of labor, water, electric power, and roads;(3) uncertainties of weather, river stages, tides, or similar physical conditions at the site; (4) the conformation and conditions of the ground; and (5) the character of equipment and facilities needed preliminary to and during work performance. The Contractor also acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is

reasonably ascertainable from an inspection of the site, including all exploratory work done by the PHA, as well as from the drawings and specifications made a part of this contract. Any failure of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the work, or for proceeding to successfully perform the work without additional expense to the PHA.

(b) The PHA assumes no responsibility for any conclusions or interpretations made by the Contractor based on the information made available by the PHA. Nor does the PHA assume responsibility for any understanding reached or representation made concerning conditions which can affect the work by any of its officers or agents before the execution of this contract, unless that understanding or representation is expressly stated in this contract.

8. Differing Site Conditions

(a) The Contractor shall promptly, and before the conditions are disturbed, give a written notice to the Contracting Officer of (1) subsurface or latent physical conditions at the site which differ materially from those indicated in this contract, or (2) unknown physical conditions at the site(s), of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the contract.

(b) The Contracting Officer shall investigate the site conditions promptly after receiving the notice. Work shall not proceed at the affected site, except at the Contractor's risk, until the Contracting Officer has provided written instructions to the Contractor. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the work under this contract, whether or not changed as a result of the conditions, the Contractor shall file a claim in writing to the PHA within ten days after receipt of such instructions and, in any event, before proceeding with the work. An equitable adjustment in the contract price, the delivery schedule, or both shall be made under this clause and the contract modified in writing accordingly.

(c) No request by the Contractor for an equitable adjustment to the contract under this clause shall be allowed, unless the Contractor has given the written notice required; provided, that the time prescribed in (a) above for giving written notice may be extended by the Contracting Officer.

(d) No request by the Contractor for an equitable adjustment to the contract for differing site conditions shall be allowed if made after final payment under this contract.

9. Specifications and Drawings for Construction

(a) The Contractor shall keep on the work site a copy of the drawings and specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the specifications and not shown on the drawings, or shown on the drawings and not mentioned in the specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and specifications, the specifications shall govern. In case of discrepancy in the figures, in the drawings, or in the specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Any adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.

(b) Wherever in the specifications or upon the drawings the words "directed", "required", "ordered", "designated", "prescribed", or words of like import are used, it shall be understood that the "direction", "requirement", "order", "designation", or "prescription", of the Contracting Officer is intended and similarly the words "approved", "acceptable", "satisfactory", or words of like import shall mean "approved by", or "acceptable to", or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.

(c) Where "as shown" "as indicated", "as detailed", or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this contract unless stated otherwise. The word "provided" as used herein shall be understood to mean "provide complete in place" that is "furnished and installed".

(d) "Shop drawings" means drawings, submitted to the PHA by the Contractor, subcontractor, or any lower tier subcontractor, showing in detail (1) the proposed fabrication and assembly of structural elements and (2) the installation (i.e., form, fit, and attachment details) of materials of equipment. It includes drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the Contractor to explain in detail specific portions of the work required by the contract. The PHA may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.

(e) If this contract requires shop drawings, the Contractor shall coordinate all such drawings, and review them for accuracy, completeness, and compliance with other contract requirements and shall indicate its approval thereon as evidence of such coordination and review. Shop drawings submitted to the Contracting Officer without evidence of the Contractor's approval may be returned for resubmission. The Contracting Officer will indicate an approval or disapproval of the shop drawings and if not approved as submitted shall indicate the PHA's reasons therefore. Any work done before such approval shall be at the Contractor's risk. Approval by the Contracting Officer shall not relieve the Contractor from responsibility for any errors or omissions in such drawings, nor from responsibility for complying with the requirements of this contract, except with respect to variations described and approved in accordance with (f) below.

(f) If shop drawings show variations from the contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Architect approves any such variation and the Contracting Officer concurs, the Contracting Officer shall issue an appropriate modification to the contract, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.

(g) It shall be the responsibility of the Contractor to make timely requests of the PHA for such large scale and full size drawings, color schemes, and other additional information, not already in his possession, which shall be

required in the planning and production of the work. Such requests may be submitted as the need arises, but each such request shall be filed in ample time to permit appropriate action to be taken by all parties involved so as to avoid delay.

(h) The Contractor shall submit to the Contracting Officer for approval four copies (unless otherwise indicated) of all shop drawings as called for under the various headings of these specifications. Three sets (unless otherwise indicated) of all shop drawings, will be retained by the PHA and one set will be returned to the Contractor. As required by the Contracting Officer, the Contractor, upon completing the work under this contract, shall furnish a complete set of all shop drawings as finally approved. These drawings shall show all changes and revisions made up to the time the work is completed and accepted.

(i) This clause shall be included in all subcontracts at any tier. It shall be the responsibility of the Contractor to ensure that all shop drawings prepared by subcontractors are submitted to the Contracting Officer.

10. As-Built Drawings

(a) "As-built drawings," as used in this clause, means drawings submitted by the Contractor or subcontractor at any tier to show the construction of a particular structure or work as actually completed under the contract. "As-built drawings" shall be synonymous with "Record drawings."

(b) As required by the Contracting Officer, the Contractor shall provide the Contracting Officer accurate information to be used in the preparation of permanent as-built drawings. For this purpose, the Contractor shall record on one set of contract drawings all changes from the installations originally indicated, and record final locations of underground lines by depth from finish grade and by accurate horizontal offset distances to permanent surface improvements such as buildings, curbs, or edges of walks.

(c) This clause shall be included in all subcontracts at any tier. It shall be the responsibility of the Contractor to ensure that all as-built drawings prepared by subcontractors are submitted to the Contracting Officer.

11. Material and Workmanship

(a) All equipment, material, and articles furnished under this contract shall be new and of the most suitable grade for the purpose intended, unless otherwise specifically provided in this contract. References in the contract to equipment, material, articles, or patented processes by trade name, make, or catalog number, shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of, and as approved by the Contracting Officer, is equal to that named in the specifications, unless otherwise specifically provided in this contract.

(b) Approval of equipment and materials.

(1) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this contract or by the Contracting Officer, the Contractor shall also obtain the Contracting Officer's approval of the material or articles which the Contractor contemplates incorporating into the work. When requesting approval, the Contractor shall provide full information concerning the material or articles. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used at the risk of subsequent rejection.

(2) When required by the specifications or the Contracting Officer, the Contractor shall submit appropriately marked samples (and certificates related to them) for approval at the Contractor's expense, with all shipping charges prepaid. The Contractor shall label, or otherwise properly mark on the container, the material or product represented, its place of origin, the name of the producer, the Contractor's name, and the identification of the construction project for which the material or product is intended to be used.

(3) Certificates shall be submitted in triplicate, describing each sample submitted for approval and certifying that the material, equipment or accessory complies with contract requirements. The certificates shall include the name and brand of the product, name of manufacturer, and the location where produced.

(4) Approval of a sample shall not constitute a waiver of the PHA right to demand full compliance with contract requirements. Materials, equipment and accessories may be rejected for cause even though samples have been approved.

(5) Wherever materials are required to comply with recognized standards or specifications, such specifications shall be accepted as establishing the technical qualities and testing methods, but shall not govern the number of tests required to be made nor modify other contract requirements. The Contracting Officer may require laboratory test reports on items submitted for approval or may approve materials on the basis of data submitted in certificates with samples. Check tests will be made on materials delivered for use only as frequently as the Contracting Officer determines necessary to insure compliance of materials with the specifications. The Contractor will assume all costs of retesting materials which fail to meet contract requirements and/or testing materials offered in substitution for those found deficient.

(6) After approval, samples will be kept in the Project office until completion of work. They may be built into the work after a substantial quantity of the materials they represent has been built in and accepted.

(c) Requirements concerning lead-based paint. The Contractor shall comply with the requirements concerning lead-based paint contained in the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. 4821-4846) as implemented by 24 CFR Part 35.

12. Permits and Codes

(a) The Contractor shall give all notices and comply with all applicable laws, ordinances, codes, rules and regulations. Notwithstanding the requirement of the Contractor to comply with the drawings and specifications in the contract, all work installed shall comply with all applicable codes and regulations as amended by any

waivers. Before installing the work, the Contractor shall examine the drawings and the specifications for compliance with applicable codes and regulations bearing on the work and shall immediately report any discrepancy it may discover to the Contracting Officer. Where the requirements of the drawings and specifications fail to comply with the applicable code or regulation, the Contracting Officer shall modify the contract by change order pursuant to the clause entitled Changes herein to conform to the code or regulation.

(b) The Contractor shall secure and pay for all permits, fees, and licenses necessary for the proper execution and completion of the work. Where the PHA can arrange for the issuance of all or part of these permits, fees and licenses, without cost to the Contractor, the contract amount shall be reduced accordingly.

13. Health, Safety, and Accident Prevention

(a) In performing this contract, the Contractor shall:

(1) Ensure that no laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to his/her health and/or safety as determined under construction safety and health standards promulgated by the Secretary of Labor by regulation;

(2) Protect the lives, health, and safety of other persons;

(3) Prevent damage to property, materials, supplies, and equipment; and,

(4) Avoid work interruptions.

(b) For these purposes, the Contractor shall:

(1) Comply with regulations and standards issued by the Secretary of Labor at 29 CFR Part 1926. Failure to comply may result in imposition of sanctions pursuant to the Contract Work Hours and Safety Standards Act (Public Law 91-54, 83 Stat. 96), 40 U.S.C. 3701 et seq.; and

(2) Include the terms of this clause in every subcontract so that such terms will be binding on each subcontractor.

(c) The Contractor shall maintain an accurate record of exposure data on all accidents incident to work performed under this contract resulting in death, traumatic injury, occupational disease, or damage to property, materials, supplies, or equipment, and shall report this data in the manner prescribed by 29 CFR Part 1904.

(d) The Contracting Officer shall notify the Contractor of any noncompliance with these requirements and of the corrective action required. This notice, when delivered to the Contractor or the Contractor's representative at the site of the work, shall be deemed sufficient notice of the noncompliance and corrective action required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to take corrective action promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. The Contractor shall not base any claim or request for equitable adjustment for additional time or money on any stop order issued under these circumstances.

(e) The Contractor shall be responsible for its subcontractors' compliance with the provisions of this clause. The Contractor shall take such action with respect to any subcontract as the PHA, the Secretary of Housing and Urban Development, or the Secretary of Labor shall direct as a means of enforcing such provisions.

14. Temporary Heating

The Contractor shall provide and pay for temporary heating, covering, and enclosures necessary to properly protect all work and materials against damage by dampness and cold, to dry out the work, and to facilitate the completion of the work. Any permanent heating equipment used shall be turned over to the PHA in the condition and at the time required by the specifications.

15. Availability and Use of Utility Services

(a) The PHA shall make all reasonably required amounts of utilities available to the Contractor from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, the amount of each utility service consumed shall be charged to or paid for by the Contractor at prevailing rates charged to the PHA or, where the utility is produced by the PHA, at reasonable rates determined by the Contracting Officer. The Contractor shall carefully conserve any utilities furnished without charge.

(b) The Contractor, at its expense and in a manner satisfactory to the Contracting Officer, shall install and maintain all necessary temporary connections and distribution lines, and all meters required to measure the amount of each utility used for the purpose of determining charges. Before final acceptance of the work by the PHA, the Contractor shall remove all the temporary connections, distribution lines, meters, and associated paraphernalia.

16. Protection of Existing Vegetation, Structures, Equipment, Utilities, and Improvements

(a) The Contractor shall preserve and protect all structures, equipment, and vegetation (such as trees, shrubs, and grass) on or adjacent to the work site, which are not to be removed under this contract, and which do not unreasonably interfere with the work required under this contract.

(b) The Contractor shall only remove trees when specifically authorized to do so, and shall avoid damaging vegetation that will remain in place. If any limbs or branches of trees are broken during performance of this contract, or by the careless operation of equipment, or by workmen, the Contractor shall trim those limbs or branches with a clean cut and paint the cut with a tree-pruning compound as directed by the Contracting Officer.

(c) The Contractor shall protect from damage all existing improvements and utilities (1) at or near the work site and (2) on adjacent property of a third party, the locations of which are made known to or should be known by the Contractor. Prior to disturbing the ground at the construction site, the Contractor shall ensure that all underground utility lines are clearly marked.

(d) The Contractor shall shore up, brace, underpin, secure, and protect as necessary all foundations and other parts of existing structures adjacent to, adjoining, and in the vicinity of the site, which may be affected by the excavations or other operations connected with the construction of the project.

(e) Any equipment temporarily removed as a result of work under this contract shall be protected, cleaned, and replaced in the same condition as at the time of award of this contract.

(f) New work which connects to existing work shall correspond in all respects with that to which it connects and/or be similar to existing work unless otherwise required by the specifications.

(g) No structural members shall be altered or in any way weakened without the written authorization of the Contracting Officer, unless such work is clearly specified in the plans or specifications.

(h) If the removal of the existing work exposes discolored or unfinished surfaces, or work out of alignment, such surfaces shall be refinished, or the material replaced as necessary to make the continuous work uniform and harmonious. This, however, shall not be construed to require the refinishing or reconstruction of dissimilar finishes previously exposed, or finished surfaces in good condition, but in different planes or on different levels **Construction** when brought together by the removal of intervening work, unless such refinishing or reconstruction is specified in the plans or specifications.

(i) The Contractor shall give all required notices to any adjoining or adjacent property owner or other party before the commencement of any work.

(j) The Contractor shall indemnify and save harmless the PHA from any damages on account of settlement or the loss of lateral support of adjoining property, any damages from changes in topography affecting drainage, and from all loss or expense and all damages for which the PHA may become liable in consequence of such injury or damage to adjoining and adjacent structures and their premises.

(k) The Contractor shall repair any damage to vegetation, structures, equipment, utilities, or improvements, including those that are the property of a third party, resulting from failure to comply with the requirements of this contract or failure to exercise reasonable care in performing the work. If the Contractor fails or refuses to repair the damage promptly, the Contracting Officer may have the necessary work performed and charge the cost to the Contractor.

17. Temporary Buildings and Transportation of Materials

(a) Temporary buildings (e.g., storage sheds, shops, offices, sanitary facilities) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to the PHA. The temporary buildings and utilities shall remain the property of the Contractor and shall be removed by the Contractor at its expense upon completion of the work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.

(b) The Contractor shall, as directed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any federal, state, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The Contractor shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

18. Clean Air and Water

The contactor shall comply with the Clean Air Act, as amended, 42 USC 7401 et seq., the Federal Water Pollution Control Water Act, as amended, 33 U.S.C. 1251 et seq., and standards issued pursuant thereto in the facilities in which this contract is to be performed.

19. Energy Efficiency

The Contractor shall comply with mandatory standards and policies relating to energy efficiency which are contained in the energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub.L. 94-163) for the State in which the work under the contract is performed.

20. Inspection and Acceptance of

(a) Definitions. As used in this clause -
(1) "Acceptance" means the act of an authorized representative of the PHA by which the PHA approves and assumes ownership of the work performed under this contract. Acceptance may be partial or complete.
(2) "Inspection" means examining and testing the work performed under the contract (including, when appropriate, raw materials, equipment, components, and intermediate assemblies) to determine whether it conforms to contract requirements.
(3) "Testing" means that element of inspection that determines the properties or elements, including functional operation of materials, equipment, or their components, by the application of established scientific principles and procedures.

(b) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the work performed under the contract conforms to contract requirements. All work is subject to PHA inspection and test at all places and at all reasonable times before acceptance to ensure strict compliance with the terms of the contract.

(c) PHA inspections and tests are for the sole benefit of the PHA and do not: (1) relieve the Contractor of responsibility for providing adequate quality control measures; (2) relieve the Contractor of responsibility for loss or damage of the material before acceptance; (3) constitute or imply acceptance; or, (4) affect the continuing rights of the PHA after acceptance of the completed work under paragraph (j) below.

(d) The presence or absence of the PHA inspector does not relieve the Contractor from any contract requirement, nor is the inspector authorized to change any term or condition of the specifications without the Contracting Officer's written authorization. All instructions and approvals with respect to the work shall be given to the Contractor by the Contracting Officer.

(e) The Contractor shall promptly furnish, without additional charge, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. The PHA may charge to the Contractor any additional cost of inspection or test when work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes reinspection or retest necessary. The PHA shall perform all inspections and tests in a manner that will not unnecessarily delay the work. Special, full size, and performance tests shall be performed as described in the contract.

(f) The PHA may conduct routine inspections of the construction site on a daily basis.

(g) The Contractor shall, without charge, replace or correct work found by the PHA not to conform to contract requirements, unless the PHA decides that it is in its interest to accept the work with an appropriate adjustment in contract price. The Contractor shall promptly segregate and remove rejected material from the premises.

(h) If the Contractor does not promptly replace or correct rejected work, the PHA may (1) by contract or otherwise, replace or correct the work and charge the cost to the Contractor, or (2) terminate for default the Contractor's right to proceed.

(i) If any work requiring inspection is covered up without approval of the PHA, it must, if requested by the Contracting Officer, be uncovered at the expense of the Contractor. If at any time before final acceptance of the entire work, the Construction PHA considers it necessary or advisable, to examine work already completed by removing or tearing it out, the Contractor, shall on request, promptly furnish all necessary facilities, labor, and material. If such work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its subcontractors, the Contractor shall defray all the expenses of the examination and of satisfactory reconstruction. If, however, such work is found to meet the requirements of the contract, the Contracting Officer shall make an equitable adjustment to cover the cost of the examination and reconstruction, including, if completion of the work was thereby delayed, an extension of time.

(j) The Contractor shall notify the Contracting Officer, in writing, as to the date when in its opinion all or a designated portion of the work will be substantially completed and ready for inspection. If the Architect determines that the state of preparedness is as represented, the PHA will promptly arrange for the inspection. Unless otherwise specified in the contract, the PHA shall accept, as soon as practicable after completion and inspection, all work required by the contract or that portion of the work the Contracting Officer determines and designates can be accepted separately. Acceptance shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the PHA's right under any warranty or guarantee.

21. Use and Possession Prior to Completion

(a) The PHA shall have the right to take possession of or use any completed or partially completed part of the work. Before taking possession of or using any work, the Contracting Officer shall furnish the Contractor a list of items of work remaining to be performed or corrected on those portions of the work that the PHA intends to take possession of or use. However, failure of the Contracting Officer to list any item of work shall not relieve the Contractor of responsibility for complying with the terms of the contract. The PHA's possession or use shall not be deemed an acceptance of any work under the contract.

(b) While the PHA has such possession or use, the Contractor shall be relieved of the responsibility for (1) the loss of or damage to the work resulting from the PHA's possession or use, notwithstanding the terms of the clause entitled Permits and Codes herein; (2) all maintenance costs on the areas occupied; and, (3) furnishing heat, light, power, and water used in the areas occupied without proper remuneration therefore. If prior possession or use by the PHA delays the progress of the work or causes additional expense to the Contractor, an equitable adjustment shall be made in the contract price or the time of completion, and the contract shall be modified in writing accordingly.

22. Warranty of Title

The Contractor warrants good title to all materials, supplies, and equipment incorporated in the work and agrees to deliver the premises together with all improvements thereon free from any claims, liens or charges, and agrees further that neither it nor any other person, firm or corporation shall have any right to a lien upon the premises or anything appurtenant thereto.

23. Warranty of

(a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (j) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or workmanship performed by the Contractor or any subcontractor or supplier at any tier. This warranty shall continue for a period of _____ (one year unless otherwise indicated) from the date of final acceptance of the work. If the PHA takes possession of any part of the work before final acceptance, this warranty shall continue for a period of (one year unless otherwise indicated) from the date that the PHA takes possession.

(b) The Contractor shall remedy, at the Contractor's expense, any failure to conform, or any defect. In addition, the Contractor shall remedy, at the Contractor's expense, any damage to PHA-owned or controlled real or personal property when the damage is the result of—
(1) The Contractor's failure to conform to contract requirements; or
(2) Any defects of equipment, material, workmanship or design furnished by the Contractor.

(c) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for (one year unless otherwise indicated) from the date of repair or replacement.

(d) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect or damage.

(e) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the PHA shall have the right to replace, repair or otherwise remedy the failure, defect, or damage at the Contractor's expense.

(f) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall:
(1) Obtain all warranties that would be given in normal commercial practice;
(2) Require all warranties to be executed in writing, for the benefit of the PHA; and,
(3) Enforce all warranties for the benefit of the PHA.

(g) In the event the Contractor's warranty under paragraph (a) of this clause has expired, the PHA may bring suit at its own expense to enforce a subcontractor's, manufacturer's or supplier's warranty.

(h) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defect of material or design furnished by the PHA nor for the repair of any damage that results from any defect in PHA furnished material or design.

(i) Notwithstanding any provisions herein to the contrary, the establishment of the time periods in paragraphs (a) and (c) above relate only to the specific obligation of the Contractor to correct the work, and have no relationship to the time within which its obligation to comply with the contract may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to its obligation other than specifically to correct the work.

(j) This warranty shall not limit the PHA's rights under the Inspection and Acceptance of Construction clause of this contract with respect to latent defects, gross mistakes or fraud.

24. Prohibition Against Liens

The Contractor is prohibited from placing a lien on the PHA's property. This prohibition shall apply to all subcontractors at any tier and all materials suppliers.

Administrative Requirements

25. Contract Period

this contract within calendar days of the effective date of the contract, or within the time schedule established in the notice to proceed issued by the Contracting Officer.

26. Order of Provisions

accordance with the terms and conditions of the

In the event of a conflict between these General Conditions and the Specifications, the General Conditions shall prevail. In the event of a conflict between the contract and any applicable state or local law or regulation, the state or local law or regulation shall prevail; provided that such state or local law or regulation does not conflict with, or is less restrictive than applicable federal law, regulation, or Executive Order. In the event of such a conflict, applicable federal law, regulation, and Executive Order shall prevail.

27. Payments

retain ten (10) percent of the amount of progress

(a) The PHA shall pay the Contractor the price as provided in this contract.

(b) The PHA shall make progress payments approximately every 30 days as the work proceeds, on estimates of work accomplished which meets the standards of quality established under the contract, as approved by the Contracting Officer. The PHA may, subject to written determination and approval of the Contracting Officer, make more frequent payments to contractors which are qualified small businesses.

(c) Before the first progress payment under this contract, the Contractor shall furnish, in such detail as requested by the Contracting Officer, a breakdown of the total contract price showing the amount included therein for each principal category of the work, which shall substantiate the payment amount requested in order to provide a basis for determining progress payments. The breakdown shall be approved by the Contracting Officer and must be acceptable to HUD. If the contract covers more than one project, the Contractor shall furnish a separate breakdown for each. The values and quantities employed in making up this breakdown are for determining the amount of progress payments and shall not be construed as a basis for additions to or deductions from the contract price. The Contractor shall prorate its overhead and profit over the construction period of the contract.

(d) The Contractor shall submit, on forms provided by the PHA, periodic estimates showing the value of the work performed during each period based upon the approved

submitted not later than _____ days in advance of the date set for payment and are subject to correction and revision as required. The estimates must be approved by the Contracting Officer with the concurrence of the Architect prior to payment. If the contract covers more than one project, the Contractor shall furnish a separate progress payment estimate for each.

(e) Along with each request for progress payments and the required estimates, the Contractor shall furnish the following certification, or payment shall not be made: I hereby certify, to the best of my knowledge and belief, that:

(1) The amounts requested are only for performance in accordance with the specifications, terms, and conditions of the contract;

(2) Payments to subcontractors and suppliers have been made from previous payments received under the contract, and timely payments will be made from the proceeds of the payment covered by this certification, in accordance with subcontract agreements; and,

(3) This request for progress payments does not include any amounts which the prime contractor intends to withhold or retain from a subcontractor or supplier in

subcontract.

Name:

Title:

Date:

(f) Except as otherwise provided in State law, the PHA shall

payments until completion and acceptance of all work under the contract; except, that if upon completion of 50 percent of the work, the Contracting Officer, after consulting with the Architect, determines that the Contractor's performance and progress are satisfactory, the PHA may make the remaining payments in full for the work subsequently completed. If the Contracting Officer subsequently determines that the Contractor's performance and progress are unsatisfactory, the PHA shall reinstate the ten (10) percent (or other percentage as provided in State law) retainage until such time as the Contracting Officer determines that performance and progress are satisfactory.

(g) The Contracting Officer may authorize material delivered on the site and preparatory work done to be taken into consideration when computing progress payments.

Material delivered to the Contractor at locations other than the site may also be taken into consideration if the Contractor furnishes satisfactory evidence that (1) it has acquired title to such material; (2) the material is properly stored in a bonded warehouse, storage yard, or similar suitable place as may be approved by the Contracting Officer; (3) the material is insured to cover its full value; and (4) the material will be used to perform this contract. Before any progress payment which includes delivered material is made, the Contractor shall furnish such documentation as the Contracting Officer may require to assure the protection of the PHA's interest in such materials. The Contractor shall remain responsible for such stored material notwithstanding the transfer of title to the PHA.

(h) All material and work covered by progress payments made shall, at the time of payment become the sole property of the PHA, but this shall not be construed as (1) relieving the Contractor from the sole responsibility for all material and work upon which payments have been made or the restoration of any damaged work; or, (2) waiving the right of the PHA to require the fulfillment of all of the terms of the contract. In the event the work of the Contractor has been damaged by other contractors or persons other than employees of the PHA in the course of their employment, the Contractor shall restore such damaged work without cost to the PHA and to seek redress for its damage only from those who directly caused it.

(i) The PHA shall make the final payment due the Contractor under this contract after (1) completion and final acceptance of all work; and (2) presentation of release of all claims against the PHA arising by virtue of this contract, other than claims, in stated amounts, that the Contractor has specifically excepted from the operation of the release. Each such exception shall embrace no more than one claim, the basis and scope of which shall be clearly defined. The amounts for such excepted claims shall not be included in the request for final payment. A release may also be required of the assignee if the Contractor's claim to amounts payable under this contract has been assigned.

(j) Prior to making any payment, the Contracting Officer may require the Contractor to furnish receipts or other evidence of payment from all persons performing work and supplying material to the Contractor, if the Contracting Officer determines such evidence is necessary to substantiate claimed costs.

(k) The PHA shall not; (1) determine or adjust any claims for payment or disputes arising there under between the Contractor and its subcontractors or material suppliers; or, (2) withhold any moneys for the protection of the subcontractors or material suppliers. The failure or refusal of the PHA to withhold moneys from the Contractor shall in nowise impair the obligations of any surety or sureties under any bonds furnished under this contract.

28. Contract Modifications

(a) Only the Contracting Officer has authority to modify any term or condition of this contract. Any contract modification shall be authorized in writing.

(b) The Contracting Officer may modify the contract unilaterally (1) pursuant to a specific authorization stated in a contract clause (e.g., Changes); or (2) for administrative matters which do not change the rights or responsibilities of the parties (e.g., change in the PHA address). All other contract modifications shall be in the form of supplemental agreements signed by the Contractor and the Contracting Officer.

(c) When a proposed modification requires the approval of HUD prior to its issuance (e.g., a change order that exceeds the PHA's approved threshold), such modification shall not be effective until the required approval is received by the PHA.

29. Changes

(a) The Contracting Officer may, at any time, without notice to the sureties, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract including changes:
(1) In the specifications (including drawings and designs);
(2) In the method or manner of performance of the work;
(3) PHA-furnished facilities, equipment, materials, services, or site; or,
(4) Directing the acceleration in the performance of the work.

(b) Any other written order or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating (1) the date, circumstances and source of the order and (2) that the Contractor regards the order as a change order.

(c) Except as provided in this clause, no order, statement or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.

(d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for a adjustment based on defective specifications, no proposal for any change under paragraph (b) above shall be allowed for any costs incurred more than 20 days (5 days for oral orders) before the Contractor gives written notice as required. In the case of defective specifications for which the PHA is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.

(e) The Contractor must assert its right to an adjustment under this clause within 30 days after (1) receipt of a written change order under paragraph (a) of this clause, or (2) the furnishing of a written notice under paragraph (b) of this clause, by submitting a written statement describing the general nature and the amount of the proposal. If the facts justify it, the Contracting Officer may extend the period for submission. The proposal may be included in the notice required under paragraph (b) above. No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.

(f) The Contractor's written proposal for equitable adjustment shall be submitted in the form of a lump sum proposal supported with an itemized breakdown of all increases and decreases in the contract in at least the following details:

(1) Direct Costs. Materials (list individual items, the quantity and unit cost of each, and the aggregate cost); Transportation and delivery costs associated with materials; Labor breakdowns by hours or unit costs (identified with specific work to be performed); Construction equipment exclusively necessary for the change; Costs of preparation and/ or revision to shop drawings resulting from the change; Worker's Compensation and Public Liability Insurance; Employment taxes under FICA and FUTA; and, Bond Costs when size of change warrants revision.

(2) Indirect Costs. Indirect costs may include overhead, general and administrative expenses, and fringe benefits not normally treated as direct costs.

(3) Profit. The amount of profit shall be negotiated and may vary according to the nature, extent, and complexity of the work required by the change. The allowability of the direct and indirect costs shall be determined in accordance with the Contract Cost Principles and Procedures for Commercial Firms in Part 31 of the Federal Acquisition Regulation (48 CFR 1-31), as implemented by HUD Handbook 2210.18, in effect on the date of this contract. The Contractor shall not be allowed a profit on the profit received by any subcontractor. Equitable adjustments for deleted work shall include a credit for profit and may include a credit for indirect costs. On proposals covering both increases and decreases in the amount of the contract, the application of indirect costs and profit shall be on the net-change in direct costs for the Contractor or subcontractor performing the work.

(g) The Contractor shall include in the proposal its request for time extension (if any), and shall include sufficient information and dates to demonstrate whether and to what extent the change will delay the completion of the contract in its entirety.

(h) The Contracting Officer shall act on proposals within 30 days after their receipt, or notify the Contractor of the date when such action will be taken.

(i) Failure to reach an agreement on any proposal shall be a dispute under the clause entitled Disputes herein. Nothing in this clause, however, shall excuse the Contractor from proceeding with the contract as changed.

(j) Except in an emergency endangering life or property, no change shall be made by the Contractor without a prior order from the Contracting Officer.

30. Suspension of Work

(a) The Contracting Officer may order the Contractor in writing to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the PHA.

(b) If the performance of all or any part of the work is, for an unreasonable period of time, suspended, delayed, or interrupted (1) by an act of the Contracting Officer in the administration of this contract, or (2) by the Contracting Officer's failure to act within the time specified (or within a reasonable time if not specified) in this contract an adjustment shall be made for any increase in the cost of performance of the contract (excluding profit) necessarily caused by such unreasonable suspension, delay, or interruption and the contract modified in writing accordingly. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the Contractor or for which any equitable adjustment is provided for or excluded under any other provision of this contract.

(c) A claim under this clause shall not be allowed (1) for any costs incurred more than 20 days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved (but this requirement shall not apply as to a claim resulting from a suspension order); and, (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the suspension, delay, or interruption, but not later than the date of final payment under the contract.

31. Disputes

(a) "Claim," as used in this clause, means a written demand or written assertion by one of the contracting parties seeking, as a matter of right, the payment of money in a sum certain, the adjustment or interpretation of contract terms, or other relief arising under or relating to the contract. A claim arising under the contract, unlike a claim relating to the contract, is a claim that can be resolved under a contract clause that provides for the relief sought by the claimant. A voucher, invoice, or other routine request for payment that is not in dispute when submitted is not a claim. The submission may be converted to a claim by complying with the requirements of this clause, if it is disputed either as to liability or amount or is not acted upon in a reasonable time.

(b) Except for disputes arising under the clauses entitled Labor Standards - Davis Bacon and Related Acts, herein, all disputes arising under or relating to this contract, including any claims for damages for the alleged breach thereof which are not disposed of by agreement, shall be resolved under this clause.

(c) All claims by the Contractor shall be made in writing and submitted to the Contracting Officer for a written decision. A claim by the PHA against the Contractor shall be subject to a written decision by the Contracting Officer.

(d) The Contracting Officer shall, within 60 (unless otherwise indicated) days after receipt of the request, decide the claim or notify the Contractor of the date by which the decision will be made.

(e) The Contracting Officer's decision shall be final unless the Contractor (1) appeals in writing to a higher level in the PHA in accordance with the PHA's policy and procedures, (2) refers the appeal to an independent mediator or arbitrator, or (3) files suit in a court of competent jurisdiction. Such appeal must be made within (30 unless otherwise indicated) days after receipt of the Contracting Officer's decision.

(f) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under or relating to the contract, and comply with any decision of the Contracting Officer.

32. Default

(a) If the Contractor refuses or fails to prosecute the work, or any separable part thereof, with the diligence that will insure its completion within the time specified in this contract, or any extension thereof, or fails to complete said work within this time, the Contracting Officer may, by written notice to the Contractor, terminate the right to

proceed with the work (or separable part of the work) that has been delayed. In this event, the PHA may take over the work and complete it, by contract or otherwise, and may take possession of and use any materials, equipment, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the PHA resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the PHA in completing the work.

(b) The Contractor's right to proceed shall not be terminated or the Contractor charged with damages under this clause if—

(1) The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include (i) acts of God, or of the public enemy, (ii) acts of the PHA or other governmental entity in either its sovereign or contractual capacity, (iii) acts of another contractor in the performance of a contract with the PHA, (iv) fires, (v) floods, (vi) epidemics, (vii) quarantine restrictions, (viii) strikes, (ix) freight embargoes, (x) unusually severe weather, or (xi) delays of subcontractors or suppliers at any tier arising from unforeseeable causes beyond the control and without the fault or negligence of both the Contractor and the subcontractors or suppliers; and

(2) The Contractor, within days (10 days unless otherwise indicated) from the beginning of such delay (unless extended by the Contracting Officer) notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of the delay. If, in the judgment of the Contracting Officer, the findings of fact warrant such action, time for completing the work shall be extended by written modification to the contract. The findings of the Contracting Officer shall be reduced to a written decision which shall be subject to the provisions of the Disputes clause of this contract.

(c) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been for convenience of the PHA.

33. Liquidated Damages

(a) If the Contractor fails to complete the work within the time specified in the contract, or any extension, as specified in the clause entitled Default of this contract, the Contractor shall pay to the PHA as liquidated damages, the sum of $ _____ Contracting Officer insert amount] for each day of delay. If different completion dates are specified in the contract for separate parts or stages of the work, the amount of liquidated damages shall be assessed on those parts or stages which are delayed. To the extent that the Contractor's delay or nonperformance is excused under another clause in this contract, liquidated damages shall not be due the PHA. The Contractor remains liable for damages caused other than by delay.

(b) If the PHA terminates the Contractor's right to proceed, the resulting damage will consist of liquidated damages until such reasonable time as may be required for final completion of the work together with any increased costs occasioned the PHA in completing the work.

(c) If the PHA does not terminate the Contractor's right to proceed, the resulting damage will consist of liquidated damages until the work is completed or accepted.

34. Termination for Convenience

(a) The Contracting Officer may terminate this contract in whole, or in part, whenever the Contracting Officer determines that such termination is in the best interest of the PHA. Any such termination shall be effected by delivery to the Contractor of a Notice of Termination specifying the extent to which the performance of the work under the contract is terminated, and the date upon which such termination becomes effective.

(b) If the performance of the work is terminated, either in whole or in part, the PHA shall be liable to the Contractor for reasonable and proper costs resulting from such termination upon the receipt by the PHA of a properly presented claim setting out in detail: (1) the total cost of the work performed to date of termination less the total amount of contract payments made to the Contractor; (2) the cost (including reasonable profit) of settling and paying claims under subcontracts and material orders for work performed and materials and supplies delivered to the site, payment for which has not been made by the PHA to the Contractor or by the Contractor to the subcontractor or supplier; (3) the cost of preserving and protecting the work already performed until the PHA or assignee takes possession thereof or assumes responsibility therefore; (4) the actual or estimated cost of legal and accounting services reasonably necessary to prepare and present the termination claim to the PHA; and (5) an amount constituting a reasonable profit on the value of the work performed by the Contractor.

(c) The Contracting Officer will act on the Contractor's claim within days (60 days unless otherwise indicated) of receipt of the Contractor's claim.

(d) Any disputes with regard to this clause are expressly made subject to the provisions of the Disputes clause of this contract.

35. Assignment of Contract

The Contractor shall not assign or transfer any interest in this contract; except that claims for monies due or to become due from the PHA under the contract may be assigned to a bank, trust company, or other financial institution. Such assignments of claims shall only be made with the written concurrence of the Contracting Officer. If the Contractor is a partnership, this contract shall inure to the benefit of the surviving or remaining member(s) of such partnership as approved by the Contracting Officer.

36. Insurance

(a) Before commencing work, the Contractor and each subcontractor shall furnish the PHA with certificates of insurance showing the following insurance is in force and will insure all operations under the Contract:

(1) Workers' Compensation, in accordance with state or Territorial Workers' Compensation laws.

(2) Commercial General Liability with a combined single limit for bodily injury and property damage of not less than $ _____ [Contracting Officer insert amount]

per occurrence to protect the Contractor and each subcontractor against claims for bodily injury or death and damage to the property of others. This shall cover the use of all equipment, hoists, and vehicles on the site(s) not covered by Automobile Liability under (3) below. If the Contractor has a "claims made" policy, then the following additional requirements apply: the policy must provide a "retroactive date" which must be on or before the execution date of the Contract; and the extended reporting period may not be less than five years following the completion date of the Contract.

(3) Automobile Liability on owned and non -owned motor vehicles used on the site(s) or in connection therewith for a combined single limit for bodily injury and property damage of not less than $ _____ [Contracting Officer insert amount] per occurrence.

(b) Before commencing work, the Contractor shall furnish the PHA with a certificate of insurance evidencing that Builder's Risk (fire and extended coverage) insurance on all work in place and/or materials stored at the building site(s), including foundations and building equipment, is in force. The Builder's Risk insurance shall be for the benefit of the Contractor and the PHA as their interests may appear and each shall be named in the policy or policies as an insured. The Contractor in installing equipment supplied by the PHA shall carry insurance on such equipment from the time the Contractor takes possession thereof until the Contract work is accepted by the PHA. The Builder's Risk insurance need not be carried on excavations, piers, footings, or foundations until such time as work on the superstructure is started. It need not be carried on landscape work. Policies shall furnish coverage at all times for the full cash value of all completed construction, as well as materials in place and/or stored at the site(s), whether or not partial payment has been made by the PHA. The Contractor may terminate this insurance on buildings as of the date taken over for occupancy by the PHA. The Contractor is not required to carry Builder's Risk insurance for modernization work which does not involve structural alterations or additions and where the PHA's existing fire and extended coverage policy can be endorsed to include such work.

(c) All insurance shall be carried with companies which are financially responsible and admitted to do business in the State in which the project is located. If any such insurance is due to expire during the construction period, the Contractor (including subcontractors, as applicable) shall not permit the coverage to lapse and shall furnish evidence of coverage to the Contracting Officer. All certificates of insurance, as evidence of coverage, shall provide that no coverage may be canceled or non-renewed by the insurance company until at least 30 days prior written notice has been given to the Contracting Officer.

37. Subcontracts

(a) Definitions. As used in this contract -

(1) "Subcontract" means any contract, purchase order, or other purchase agreement, including modifications and change orders to the foregoing, entered into by a subcontractor to furnish supplies, materials, equipment, and services for the performance of the prime contract or a subcontract.

(2) "Subcontractor" means any supplier, vendor, or firm that furnishes supplies, materials, equipment, or services to or for the Contractor or another subcontractor.

(b) The Contractor shall not enter into any subcontract with any subcontractor who has been temporarily denied participation in a HUD program or who has been suspended or debarred from participating in contracting programs by any agency of the United States Government or of the state in which the work under this contract is to be performed.

(c) The Contractor shall be as fully responsible for the acts or omissions of its subcontractors, and of persons either directly or indirectly employed by them as for the acts or omissions of persons directly employed by the Contractor.

(d) The Contractor shall insert appropriate clauses in all subcontracts to bind subcontractors to the terms and conditions of this contract insofar as they are applicable to the work of subcontractors.

(e) Nothing contained in this contract shall create any contractual relationship between any subcontractor and the PHA or between the subcontractor and HUD.

38. Subcontracting with Small and Minority Firms, Women's Business Enterprise, and Labor Surplus Area Firms

The Contractor shall take the following steps to ensure that, whenever possible, subcontracts are awarded to small business firms, minority firms, women's business enterprises, and labor surplus area firms:

(a) Placing qualified small and minority businesses and women's business enterprises on solicitation lists;

(b) Ensuring that small and minority businesses and women's business enterprises are solicited whenever they are potential sources;

(c) Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority businesses and women's business enterprises;

(d) Establishing delivery schedules, where the requirements of the contract permit, which encourage participation by small and minority businesses and women's business enterprises; and

(e) Using the services and assistance of the U.S. Small Business Administration, the Minority Business Development Agency of the U.S. Department of Commerce, and State and local governmental small business agencies.

39. Equal Employment Opportunity

During the performance of this contract, the Contractor/ Seller agrees as follows:

(a) The Contractor/Seller shall not discriminate against any employee or applicant for employment because of of race color, religion, sex, sexual orientation, gender identity, disability, or national origin.

(b) The Contractor/Seller shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, disability, or national origin. Such action shall include, but not be limited to, (1) employment, (2) upgrading demotion, (4) transfer, (5) recruitment or recruitment advertising, (6) layoff or termination, (7) rates of pay or other forms of compensation, and (8) selection for training,including apprenticeship

(c) The Contractor/Seller agrees to post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer setting forth the provisions of this nondiscrimination clause.
(d) The Contractor/Seller shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor/Seller, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
(e) The Contractor/Seller shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.
(f) The Contractor/Seller shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.
(g) The Contractor/Seller shall furnish all information and reports required by Executive Order 11246, as amended, Section 503 of the Rehabilitation Act of 1973, as amended, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto. The Contractor/Seller shall permit

access to its books, records, and accounts by the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(h) In the event of a that the Contractor/Seller is in noncompliance with the nondiscrimination clauses of this contract or with any of such rules, regulations, or orders, this contract may be canceled, terminated or suspended in whole or in part and the contractor/seller may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(i)The contractor/seller will include the provisions of paragraphs (a) through (h) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each sub[contractor/seller] or vendor. The [contractor/seller] will take such action with respect to any subcontract or purchase order as may be directed by the Secretary of Labor as a means of enforcing such provisions in cluding sanctions for noncompliance: Provided, however, that in the event the [contractor/seller] becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the [contractor/seller] may request the United States to enter into such litigation to protect the interests of the United States.

(j) Compliance with the requirements of this clause shall be to the maximum extent consistent with, but not in derogation of, compliance with section 7(b) of the Indian Self-Determination and Education Assistance Act and the Indian Preference clause of this contract.

40. Employment, Training, and Contracting Opportunities for Low-Income Persons, Section 3 of the Housing and Urban Development Act of 1968.

(a) The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
(b) The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 75, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 75 regulations.
(c) The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 prioritization requirements and shall state the minimum percentages of labor hour requirements established in the Benchmark Notice (FR-6085-N-04).
(d) The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 75, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 75. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 75.
(e) Noncompliance with HUD's regulations in 24 CFR Part 75 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
(f) Contracts, subcontracts, grants, or subgrants subject to Section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5307(b)) or subject to tribal preference requirements as authorized under 101(k) of the Native American Housing Assistance and Self-Determination Act (25 U.S.C. 4111(k)) must provide preferences in employment, training, and business opportunities to Indians and Indian organizations, and are therefore not subject to the requirements of 24 CFR Part 75.

41. Interest of Members of Congress

No member of or delegate to the Congress of the United States of America shall be admitted to any share or part of this contract or to any benefit that may arise therefrom.

42. Interest of Members, Officers, or Employees and Former Members, Officers, or Employees

No member, officer, or employee of the PHA, no member of the governing body of the locality in which the project is situated, no member of the governing body of the locality in which the PHA was activated, and no other public official of such locality or localities who exercises any functions or responsibilities with respect to the project, shall, during his or her tenure, or for one year thereafter, have any interest, direct or indirect, in this contract or the proceeds thereof.

43. Limitations on Payments made to Influence Certain Federal Financial Transactions

(a) The Contractor agrees to comply with Section 1352 of Title 31, United States Code which prohibits the use of Acts Federal appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract; the making of any Federal grant; the making of any Federal loan; the entering into of any cooperative agreement; or the modification of any Federal contract, grant, loan, or cooperative agreement.

(b) The Contractor further agrees to comply with the requirement of the Act to furnish a disclosure (OMB Standard Form LLL, Disclosure of Lobbying Activities) if any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement.

44. Royalties and Patents

The Contractor shall pay all royalties and license fees. It shall defend all suits or claims for infringement of any patent rights and shall save the PHA harmless from loss on account thereof; except that the PHA shall be responsible for all such loss when a particular design, process or the product of a particular manufacturer or manufacturers is specified and the Contractor has no reason to believe that the specified design, process, or product is an infringement. If, however, the Contractor has reason to believe that any design, process or product specified is an infringement of a patent, the Contractor shall promptly notify the Contracting Officer. Failure to give such notice shall make the Contractor responsible for resultant loss.

45. Examination and Retention of Contractor's Records

(a) The PHA, HUD, or Comptroller General of the United States, or any of their duly authorized representatives shall, until 3 years after final payment under this contract, have access to and the right to examine any of the Contractor's directly pertinent books, documents, papers, or other records involving transactions related to this contract for the purpose of making audit, examination, excerpts, and transcriptions.

(b) The Contractor agrees to include in first-tier subcontracts under this contract a clause substantially the same as paragraph (a) above. "Subcontract," as used in this clause, excludes purchase orders not exceeding $10,000.

(c) The periods of access and examination in paragraphs (a) and (b) above for records relating to (1) appeals under the Disputes clause of this contract, (2) litigation or settlement of claims arising from the performance of this contract, or (3) costs and expenses of this contract to which the PHA, HUD, or Comptroller General or any of their duly authorized representatives has taken exception shall continue until disposition of such appeals, litigation, claims, or exceptions.

46. Labor Standards - Davis-Bacon and Related

If the total amount of this contract exceeds $2,000, the Federal labor standards set forth in the clause below shall apply to the development or construction work to be performed under the contract.

(a) Minimum Wages.

(1) All laborers and mechanics employed under this contract in the development or construction of the project(s) involved will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of 29 CFR 5.5(a)(1)(iv); also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the regular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under 29 CFR 5.5(a)(1)(ii) and the Davis-Bacon poster (WH-1321) shall

be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

(2) (i) Any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. HUD shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met: (A) The work to be performed by the classification requested is not performed by a classification in the wage determination; and (B) The classification is utilized in the area by the construction industry; and (C) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(ii) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and HUD or its designee agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by HUD or its designee to the Administrator of the Wage and Hour Division, Employee Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary.

(iii) In the event the Contractor, the laborers or mechanics to be employed in the classification or their representatives, and HUD or its designee do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), HUD or its designee shall refer the questions, including the views of all interested parties and the recommendation of HUD or its designee, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary.

(iv) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (a)(2)(ii) or (iii) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in classification.

(3) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(4) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; provided, that the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

(b) Withholding of funds. HUD or its designee shall, upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same prime Contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working in the construction or development of the project, all or part of the wages required by the contract, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased. HUD or its designee may, after written notice to the Contractor, disburse such amounts withheld for and on account of the Contractor or subcontractor to the respective employees to whom they are due.

(c) Payrolls and basic records.

(1) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working in the construction or development of the project. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under 29 CFR 5.5(a)(1)(iv), that the wages of any laborer or mechanic include the amount of costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(2) (i) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer for transmission to HUD or its designee. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under subparagraph (c)(1) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402. The Contractor is responsible for the submission of copies of payrolls by all subcontractors. (Approved by the Office of Management and Budget under OMB Control Number 1214-0149.)

(ii) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(A) That the payroll for the payroll period contains the information required to be maintained under paragraph (c) (1) of this clause and that such information is correct and complete;

(B) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR Part 3; and

(C) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(iii) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirements for submission of the "Statement of Compliance" required by subparagraph (c)(2)(ii) of this clause.

(iv) The falsification of any of the above certifications may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.

(3) The Contractor or subcontractor shall make the records required under subparagraph (c)(1) available for inspection, copying, or transcription by authorized representatives of HUD or its designee, the Contracting Officer, or the Department of Labor and shall permit such representatives to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit the required records or to make them available, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

(d) (1) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship and Training, Employer and Labor Services (OATELS), or with a State Apprenticeship Agency recognized by OATELS, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by OATELS or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator of the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event OATELS, or a State Apprenticeship Agency recognized by OATELS, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(2) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under

the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(3) Equal employment opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

(e) Compliance with Copeland Act requirements. The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.

(f) Contract termination; debarment. A breach of this contract clause may be grounds for termination of the contract and for debarment as a Contractor and a subcontractor as provided in 29 CFR 5.12.

(g) Compliance with Davis-Bacon and related Act requirements. All rulings and interpretations of the Davis-Bacon and related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract.

(h) Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this clause shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the PHA, HUD, the U.S. Department of Labor, or the employees or their representatives.

(i) Certification of eligibility.

(1) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(2) No part of this contract shall be subcontracted to any person or firm ineligible for award of a United States Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(3) The penalty for making false statements is prescribed in the U. S. Criminal Code, 18 U.S.C. 1001.

(j) Contract Work Hours and Safety Standards Act. As used in this paragraph, the terms "laborers" and "mechanics" include watchmen and guards.

(1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics, including watchmen and guards, shall require or permit any such laborer or mechanic in any workweek in which the individual is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.

(2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the provisions set forth in subparagraph (j)(1) of this clause, the Contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic (including watchmen and guards) employed in violation of the provisions set forth in subparagraph (j)(1) of this clause, in the sum of $27 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by provisions set forth in subparagraph (j)(1) of this clause. DOL posts current fines at: https://www.dol.gov/whd/govcontracts/cwhssa.htm#cmp

(3) Withholding for unpaid wages and liquidated damages. HUD or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any Federal contract with the same prime Contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in the provisions set forth in subparagraph (j)(2) of this clause.

(k) Subcontracts. The Contractor or subcontractor shall insert in any subcontracts all the provisions contained in this clause, and such other clauses as HUD or its designee may by appropriate instructions require, and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts. The prime Contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all these provisions.

47. Non-Federal Prevailing Wage Rates

(a) Any prevailing wage rate (including basic hourly rate and any fringe benefits), determined under State or tribal law to be prevailing, with respect to any employee in any trade or position employed under the contract, is inapplicable to the contract and shall not be enforced against the Contractor or any subcontractor, with respect to employees engaged under the contract whenever such non-Federal prevailing wage rate exceeds:

(1) The applicable wage rate determined by the Secretary of Labor pursuant to the Davis-Bacon Act (40 U.S.C. 3141 et seq.) to be prevailing in the locality with respect to such trade;

(b) An applicable apprentice wage rate based thereon specified in an apprenticeship program registered with the U.S. Department of Labor (DOL) or a DOL-recognized State Apprenticeship Agency; or

(c) An applicable trainee wage rate based thereon specified in a DOL-certified trainee program.

48. Procurement of Recovered Materials.

(a) In accordance with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, the Contractor shall procure items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition. The Contractor shall procure items designated in the EPA guidelines that contain the highest percentage of recovered materials practicable unless the Contractor determines that such items: (1) are not reasonably available in a reasonable period of time; (2) fail to meet reasonable performance standards, which shall be determined on the basis of the guidelines of the National Institute of Standards and Technology, if applicable to the item; or (3) are only available at an unreasonable price.

( ) Paragraph (a) of this clause shall apply to items purchased under this contract where: (1) the Contractor purchases in excess of $10,000 of the item under this contract; or (2) during the preceding Federal fiscal year, the Contractor: (i) purchased any amount of the items for use under a contract that was funded with Federal appropriations and was with a Federal agency or a State agency or agency of a political subdivision of a State; and (ii) purchased a total of in excess of $10,000 of the item both under and outside that contract.

APPLICATION AND CERTIFICATE FOR PAYMENT AIA DOCUMENT G702 (Instructions on reverse side) PAGE ONE OF PAGES

TO OWNER:

PROJECT:

APPLICATION NO.:
PERIOD TO:
PROJECT NOS.:

Distribution to:
☐ OWNER
☐ ARCHITECT
☐ CONTRACTOR
☐
☐

FROM CONTRACTOR:

VIA ARCHITECT:

CONTRACT DATE:

CONTRACT FOR:

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract. Continuation Sheet, AIA Document G703, is attached.

1. **ORIGINAL CONTRACT SUM** ..... $_____
2. **Net change by Change Orders** ..... $_____
3. **CONTRACT SUM TO DATE** (Line 1 ± 2) ..... $_____
4. **TOTAL COMPLETED & STORED TO DATE** ..... $_____
   (Column G on G703)
5. **RETAINAGE:**
   a. _____% of Completed Work $_____
   (Columns D + E on G703)
   b. _____% of Stored Material $_____
   (Column F on G703)
   Total Retainage (Line 5a + 5b or
   Total in Column I of G703) ..... $_____
6. **TOTAL EARNED LESS RETAINAGE** ..... $_____
   (Line 4 less Line 5 Total)
7. **LESS PREVIOUS CERTIFICATES FOR PAYMENT**
   (Line 6 from prior Certificate) ..... $_____
8. **CURRENT PAYMENT DUE** ..... $
9. **BALANCE TO FINISH, INCLUDING RETAINAGE**
   (Line 3 less Line 6) $_____

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner		
Total approved this Month		
TOTALS		
NET CHANGES by Change Order		

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR:

By: _____ Date: _____

State of:
County of:
Subscribed and sworn to before
me this day of

Notary Public:
My Commission expires:

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED ..... $_____

(Attach explanation if amount certified differs from the amount applied for. Initial all figures on this Application and on the Continuation Sheet that are changed to conform to the amount certified.)

ARCHITECT:

By: _____ Date: _____

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

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G702-1992

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CONTINUATION SHEET

AIA DOCUMENT G703 (Instructions on reverse side)

PAGE OF PAGES

AIA Document G702, APPLICATION AND CERTIFICATE FOR PAYMENT, containing Contractor's signed Certification, is attached.
In tabulations below, amounts are stated to the nearest dollar.
Use Column I on Contracts where variable retainage for line items may apply.

APPLICATION NO.:
APPLICATION DATE:
PERIOD TO:
ARCHITECT'S PROJECT NO.:

A	B	C	D	E	F	G		H	I
ITEM NO.	DESCRIPTION OF WORK	SCHEDULED VALUE	WORK COMPLETED		MATERIALS PRESENTLY STORED (NOT IN D OR E)	TOTAL COMPLETED AND STORED TO DATE (D+E+F)	% (G ÷ C)	BALANCE TO FINISH (C - G)	RETAINAGE (IF VARIABLE) RATE)
			FROM PREVIOUS APPLICATION (D + E)	THIS PERIOD					

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U.S. Department of Labor
Wage and Hour Division

PAYROLL

(For Contractor's Optional Use; See Instructions at www.dol.gov/whd/forms/wh347instr.htm)

Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number.

U.S. Wage and Hour Division
Rev. Dec. 2008

OMB No.:1235-0008
Expires: 03/31/2018

NAME OF CONTRACTOR ☐ OR SUBCONTRACTOR ☐ | ADDRESS

PAYROLL NO. | FOR WEEK ENDING | PROJECT AND LOCATION | PROJECT OR CONTRACT NO.

(1) NAME AND INDIVIDUAL IDENTIFYING NUMBER (e.g., LAST FOUR DIGITS OF SOCIAL SECURITY NUMBER) OF WORKER	(2) NO. OF WITHHOLDING EXEMPTIONS	(3) WORK CLASSIFICATION	OT. OR ST.	(4) DAY AND DATE HOURS WORKED EACH DAY	(5) TOTAL HOURS	(6) RATE OF PAY	(7) GROSS AMOUNT EARNED	(8) DEDUCTIONS FICA	WITH-HOLDING TAX			OTHER	TOTAL DEDUCTIONS	(9) NET WAGES PAID FOR WEEK
			O											
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While completion of Form WH-347 is optional, it is mandatory for covered contractors and subcontractors performing work on Federally financed or assisted construction contracts to respond to the information collection contained in 29 C.F.R. §§ 3.3, 5.5(a). The Copeland Act (40 U.S.C. § 3145) contractors and subcontractors performing work on Federally financed or assisted construction contracts to "furnish weekly a statement with respect to the wages paid each employee during the preceding week." U.S. Department of Labor (DOL) regulations at 29 C.F.R. § 5.5(a)(3)(ii) require contractors to submit weekly a copy of all payrolls to the Federal agency contracting for or financing the construction project, accompanied by a signed "Statement of Compliance" indicating that the payrolls are correct and complete and that each laborer or mechanic has been paid not less than the proper Davis-Bacon prevailing wage rate for the work performed. DOL and federal contracting agencies receiving this information review the information to determine that employees have received legally required wages and fringe benefits.

Public Burden Statement

We estimate that is will take an average of 55 minutes to complete this collection, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have any comments regarding these estimates or any other aspect of this collection, including suggestions for reducing this burden, send them to the Administrator, Wage and Hour Division, U.S. Department of Labor, Room S3502, 200 Constitution Avenue, N.W. Washington, D.C. 20210

(over)

Date _____

I, _____ _____
(Name of Signatory Party) (Title)

do hereby state:

(1) That I pay or supervise the payment of the persons employed by

_____ on the
(Contractor or Subcontractor)

_____; that during the payroll period commencing on the
(Building or Work)

_____ day of _____, _____, and ending the _____ day of _____, _____,

all persons employed on said project have been paid the full weekly wages earned, that no rebates have been or will be made either directly or indirectly to or on behalf of said

_____ from the full
(Contractor or Subcontractor)

weekly wages earned by any person and that no deductions have been made either directly or indirectly from the full wages earned by any person, other than permissible deductions as defined in Regulations, Part 3 (29 C.F.R. Subtitle A), issued by the Secretary of Labor under the Copeland Act, as amended (48 Stat. 948, 63 Stat. 108, 72 Stat. 967; 76 Stat. 357; 40 U.S.C. § 3145), and described below:

_____

_____

_____

_____

(2) That any payrolls otherwise under this contract required to be submitted for the above period are correct and complete; that the wage rates for laborers or mechanics contained therein are not less than the applicable wage rates contained in any wage determination incorporated into the contract; that the classifications set forth therein for each laborer or mechanic conform with the work he performed.

(3) That any apprentices employed in the above period are duly registered in a bona fide apprenticeship program registered with a State apprenticeship agency recognized by the Bureau of Apprenticeship and Training, United States Department of Labor, or if no such recognized agency exists in a State, are registered with the Bureau of Apprenticeship and Training, United States Department of Labor.

(4) That:

(a) WHERE FRINGE BENEFITS ARE PAID TO APPROVED PLANS, FUNDS, OR PROGRAMS

☐ – in addition to the basic hourly wage rates paid to each laborer or mechanic listed in the above referenced payroll, payments of fringe benefits as listed in the contract have been or will be made to appropriate programs for the benefit of such employees, except as noted in section 4(c) below.

(b) WHERE FRINGE BENEFITS ARE PAID IN CASH

☐ – Each laborer or mechanic listed in the above referenced payroll has been paid, as indicated on the payroll, an amount not less than the sum of the applicable basic hourly wage rate plus the amount of the required fringe benefits as listed in the contract, except as noted in section 4(c) below.

(c) EXCEPTIONS

EXCEPTION (CRAFT)	EXPLANATION

REMARKS:

NAME AND TITLE	SIGNATURE

THE WILLFUL FALSIFICATION OF ANY OF THE ABOVE STATEMENTS MAY SUBJECT THE CONTRACTOR OR SUBCONTRACTOR TO CIVIL OR CRIMINAL PROSECUTION. SEE SECTION 1001 OF TITLE 18 AND SECTION 231 OF TITLE 31 OF THE UNITED STATES CODE.

FLINT HOUSING COMMISSION

PROPASAL DOCUMENT CHECKLIST : The following documents, in duplicate are required to be completed and included with the proposals. Failure to submit the following documents will become grounds for immediate rejection of the bid.

1. Instructions to Bidders (HUD Form 5369)
2. Representations, Certifications and Other Statement of Bidders (HUD Form 5369-A)
3. General Contract Conditions (HUD 5370)
4. Proposal Form (2 Pages)
5. Bid Form: Stipulated Price (3 Pages)
6. Supplement Bid Form (1 page)
7. Cost Breakdown (2 Pages)
8. Certificate of Non-Collusive Affidavit
9. Hold Harmless Agreement
10. Section 3 Agreement
11. Copy of Builders License for the State of Michigan & be up to date
12. Bid Bond for 5% of the total bid amount, from HUD approve company or a certified check in the amount of 5% of bid amount. (Required for all bid proposals over$25,000.00)

The documents listed above will be reviewed by the Owner to determine compliance with the requirements of the Project Manual for this project. Bid proposal forms to be incomplete, incorrect and or non-responsive or not in the best interest of the FHC will be rejected.

Flint Housing Commission

PROPOSAL FORM:

Owner: Flint Housing Commission
3820 Richfield Rd.
Flint, MI 48506

Project: River Park Siding Project
IFB 2026-15

Date: _____ 2026
Name of Bidder: _____
Street Address: _____
City, State, Zip: _____
Telephone: _____ Fax: _____
Email: _____

The undersigned, as Bidder, hereby declares that this bid is made in good faith, without fraud or collusion with any person or persons bidding on the same Contract: that he has carefully read and examined the Contract Documents including the Invitation to Bid, Instruction to Bidders, Proposal, Bid Forms, Contract, Bond Forms, General Conditions, Specifications, and Drawing Plans herein referred to and understands all the same. The Bidder declares that he or his representative has made a personal investigation at the site and on the work herein proposed and is fully informed as to the nature of the work and the conditions relating to its performance.

The Bidder acknowledges that he has not received or relief upon any representations or warrants of any nature what so ever from the Housing Commission, its agents or employees, and that this bid id based solely upon the Bidders own independent business judgement.

The undersigned hereby proposes to perform all work shown on the plans or described in the specifications including any addenda issued thereto, and to furnish all necessary machinery, tools, apparatus, and other means of construction to do all the work, furnish all the materials, and complete the work herein described in strict accordance with all terms of the Contract of which this proposal is one part.

In accordance with these Contract Documents dated _____, and Addenda thereto numbered _____, the undersigned, as bidder, hereby proposes to perform at the specific sites the work enumerated in in the attached Bid Form(s) for the amounts set forth therein.

The Bidder agrees that there shall be deducted from the Bid Price as liquidated damages, and not as a penalty, the sum of One Hundred Fifty and 00/100 Dollars ($150.00) per day for each and every calendar day required for the completion of the work beyond the times stipulated or to the times to which such

completion times may be extended for good cause shown: and agrees that, if awarded a Contract, this liquidated damages clause shall form part of the Contract.

Flint Housing Commission

The undersigned encloses a certified check or bid bond in the amount of five percent (5%) of the total Bid Price.

The undersigned agrees to contract for said work and furnish the necessary Bonds and Insurance Certificates within ten (10) days after being notified of the acceptance of his bid.

If this proposal is accepted by the Housing Commission and the Bidder fails to contact as aforesaid and to furnish the required Bonds and Insurance Certificates within ten (10) days after being notified of the acceptance of this Bid, then the undersigned shall be considered to have abandoned the Contract and the certified check or bid bond accompanying this Proposal shall become due and payable to the Housing Commission.

If the undersigned enters into the Contract in accordance with this Proposal, or is his Proposal is rejected, then the accompanying check or bid bond shall be returned to the undersigned.

In submitting his Bid, it is understood that the right is reserved by the Housing Commission to accept any Bid, reject any or all Bids, to waive irregularities and /or information in any Bid, and to make the award in any manner deemed in best interest of the Housing Commission.

SIGNED AND SEALED THIS_____DAY OF_____ 2026.

_____

Authorized Signature of Bidder

_____

Firm Name

_____

Official Address

_____

Telephone Number: Fax Number:

_____

E-mail Address

Flint Housing Commission

BID FORM: STIPULATED PRICE

Owner: Flint Housing Commission
3800 Richfield Rd.
Flint, MI 48506

Project: River Park Siding Project
IFB 2026-15
7002 Pemberton
Flint, MI 48505

Date: _____, 2026

Name of Bidder: _____

Street Address: _____

City, State, Zip: _____

Telephone: _____Fax: _____

E-mail: _____

1. OFFER

Having examined the Place of the Work and all matters referred to in the Instruction to Bidders and the Contract Documents prepared by the Housing Commission for the above mentioned project, we the undersigned, hereby offer to enter into a Contract to perform the work for the lump Sum of:

_____/100 Dollars
($_____)
All Applicable federal, State of Michigan and City of Flint taxes are included in the Bid Sum.

We have included, the required security Bid Bond as required by the Instruction to Bidders.

2. BONDS

A bid guarantee in the amount of $_____ has been attached and forms part of this proposal.
An Assurance of Completion will be furnished as indicated in the Instruction to Bidders. The premium for the assurance of this project will be $_____, and is part of the lump sum above. If the Owner should desire to waive the requirement, the entire amount shall be credited against the proposal sum.
The method selected by the Bidder for Acceptance of Completion will be_____.

3. ACCEPTANCE
This offer shall be open to acceptance and is irrevocable for ninety (90) days from the bid closing date.

If this bid is accepted by the Owner within the time period stated above, we will:

- Execute the Agreement within ten (10) business days of receipt of Notice of Award.
- Furnish the required bonds within seven (7) business days of receipt of Notice of Award.
- Commence work within seven (7) calendar days after written Notice to Proceed.

If this bid is accepted within the time stated, and fail to commence the Work or we fail to provide the required Bonds, the security deposit shall be forfeited as damages to the owner by reason of our failure, limited in amount to the leer of the face value of the security deposit or the difference between this bid and the bid upon which a Contract is signed.

In the event our bid is not accepted within the time stated above, the required security deposit shall be returned to the undersigned, in accordance with the provisions of the Instructions to Bidders, unless a mutually satisfactory arrangement is made for its retention and validity for an Extended period of time.

4. CONTRACT TIME
If this Bid is accepted, we will:

- Complete the work in Ninety (90) calendar days' form Notice to Proceed.
- Contract Time will be a consideration in the Contract Award.

5. CHANGES TO THE WORK
When the FHC establishes that the method of valuation of Changes in the Work will be net cost plus, a percentage fee in accordance with General Conditions, our percentage fee will be:
_____percent overhead and profit on the net cost of our own work:
_____percent on the cost of work done by any Sub-contractor.

6. ADDENDA

The following Addenda have been received. The modification to the Bid documents noted below have been considered and all costs are included in the Bid Sum.

Addendum #_____ Dated_____

Addendum # _____ Dated_____

7. APPENDICES

Submit the following Appendices concurrent with Bid Submission:

- Appendix A – Cost Breakdown
- Appendix B- Form of Non-Collusive Affidavit

8. BID FORM SIGNATURES

Bidder to state if Sole Proprietorship, Partnership, Corporation or Joint Venture

If Partnership, list of all partners:

_____ _____

_____ _____

If Corporation, give state of incorporation: _____

Give States in which qualified to do business: _____

If joint Venture, List names of each party of Joint Venture:

_____ _____

_____ _____

Signatures:

_____ _____

_____ _____

(printed name and title) *(printed name and title)*

Date: _____

END OF BID FORM-STIPULATED PRICE

Flint Housing Commission

SUPPLEMENTS TO BID FORMS:

Owner: Flint Housing Commission
3820 Richfield Rd.
Flint, MI 48506

Project: IFB 2026-15
River Park Siding Project

Date: _____,2026

Name of Bidder: _____

Street Address: _____

City, State, Zip: _____

Telephone: _____Fax: _____

E-Mail: _____

In accordance with the Instructions to Bidders and the Bid Form- Stipulated Price, we include the Supplements to Bid Form Appendices listed below. The information provided shall be considered an integral part of the Bid Form.

These Appendices are as follows:

Appendix A: Form of Non-Collusive Affidavit.

COST BREAKDOWN
RIVER PARK SIDING PROJECT
IFB2026-15
FLINT HOUSING COMMISSION

The undersigned acknowledge the requirements of the bid package for the project and present the following sums to complete the work.

1. Demolition/Debris Removal _____
2. Material Cost _____
3. Labor _____
4. Other/Miscellaneous _____
5. General Conditions _____
6. Profit & Overhead _____

Firm Fixed Price .....$_____

Note: The penalty for making false statements in offers is prescribed in 18 U.S.S. 1001

Official Address:

_____

_____

_____

(Name of Bidder-Company)

By:_____
Authorized Signature

Title:_____

Phone:_____

Date:_____

Email:_____

The Owner has the right to delete work as necessary to make the project fall in line with the budget.

ACKNOWLEDGEMENT OF ADDENDA

The following addenda have been received, and are hereby acknowledged, and them execution is included in the above proposal amount.

Addendum No._____Dated: _____

Addendum No. _____Dated: _____

In submitting this bid, it is understood that the right is reserved by Flint Housing Commission to reject any or all bids and to accept or reject any combination if the Individual bids received. If written notice of acceptance of this bid is mailed, telegraphed or delivered to the undersigned within sixty (60) days after opening thereof, or at any time thereafter before this bid is withdrawn, the undersigned agrees to execute and deliver a contract in the prescribed form and furnish the required bond within ten (10) days after the contract is presented to him/her for signature.

Signature: _____Date: _____

Form of Non-Collusive
Affidavit

FORM OF NON-COLLUSIVE AFFIDAVIT

STATE OF _____

COUNTY OF _____

_____, being first duly sworn, deposes and says:

That he/she/it is _____, the party making the foregoing proposal or bid, that such proposal or bid is genuine and not collusive or sham; that said bidder has not colluded, conspired, connived or agreed, directly or indirectly, with any bidder or person, to put in a sham bid or to refrain from bidding, and has not in any manner, directly or indirectly, sought by agreement or collusion, or communication or conference with any person, to fix the bid price of affiant or of any other bidder, or to fix any overhead, profit, or cost element of said bid price, or of that of any other bidder, or to secure any advantage against Auburn Housing Authority or any person interested in the proposed Contract; and that all statements in said Proposal or Bid are true.

_____
Signature of Bidder, if Bidder is an individual

_____
Signature of Bidder, if Bidder is an individual

_____
Signature of Officer, if Bidder is a Corporation

Subscribed and sworn to before me
this ____ day of _____
20___.

_____
Notary Public
My Commission expires_____

FLINT HOUSING COMMISSION

3820 Richfield Rd.
Flint, MI 48506
Phone: (810) 736-3050
www.flinthc.org

HOLD HARMLESS AGREEMENT

The Contractor/Vendor/Professional Service shall indemnify and hold harmless the Housing Commission and its employees from and against all claims for personal injury or property damage, including claims against the Housing Commission, its agents or servants, and all losses and expenses, including reasonable attorney fees that may be incurred by the Housing Commission defending such claims arising out of or resulting from the performance of the work but only to the extent in part by any negligent act or omission of the Contractor/Vendor/Professional Service, any Subcontractor, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, and not to the extent it is caused in part by a party indemnified hereunder. In any and all claims against the Housing Commission or any of its agents or servants by an employee of a Contractor/Vendor/Professional Service, any Subcontractor, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, the indemnification obligation under this paragraph shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Contractor/Vendor/Professional Service or Subcontractor under Worker's Compensation Acts, Disability Acts or their Employee Benefit Acts.

By: _____ Date: _____

Title: _____ Frim Name: _____

Address: _____

Document 00411: Flint Housing Commission - Section 3 Compliance Form

Owner: Flint Housing Commission
3820 Richfield Road
Flint, MI 48506

Project: _____

Solicitation or File No: _____

Date: _____

Name of Bidder: _____

Street Address: _____

City, State, Zip: _____

Telephone: _____ **Fax:** _____

Email: _____

Indicate Bidder's proposed methodology for compliance with Flint Housing Commission's Section 3 Policy (Bidder may select one or a combination of compliance methods):

A. ☐ **Hiring and Training Method of Compliance** (Labor Hours Method of Compliance): At least 25% of total hours must be worked by Section 3 Workers, of which 5% must be Targeted Section 3 Workers in compliance with Flint Housing Commission's Section 3 Policy method "A. The Hiring and Training Method of Compliance".

B. ☐ **Subcontracting Method of Compliance**: The Contractor subcontracts with Section 3 Business concerns in excess of 25% of the Contract Sum in compliance with Flint Housing Commission's Section 3 Policy method "B. The Subcontracting Method of Compliance".

C. ☐ **Other Economic Opportunities Method of Compliance**: The Contractor provides economic opportunities in compliance with Flint Housing Commission's Section 3 Policy method "C. The Other Economic Opportunities Method of Compliance".

D. ☐ **Section 3 Certified Business Concern Method of Compliance**: Contractors and Subcontractors provide compliance by becoming a certified Section 3 Business Concern in compliance with Flint Housing Commission's Section 3 Policy method "D. The Section 3 Certified Business Concern Method of Compliance".

The **Bidder** must provide an implementation plan in narrative from that identifies the chosen method(s) of compliance prior to contract award / execution; and supporting documentation of the compliance method(s) as required by the Flint Housing Commission's Section 3 Policy prior to requesting final payment. If the Contractor fails to satisfy the Section 3 Method of Compliance the Contractor must contribute to the Flint Housing Commission Section 3 Education & Training Fund in an amount equivalent to 3% of the Contract Sum.

Signature of Authorized Representative: _____

_____
(Printed Name and Title)

Flint Housing Commission - Section 3 Policy

Purpose & Applicability

FHC is committed to ensuring that economic opportunities generated by HUD-funded projects are directed to low and very low-income people and businesses, to the maximum extent feasible. Compliance with Section 3 is mandatory, not optional. All contractors, subcontractors, developers, and recipients of HUD funds administered through FHC are required to comply fully with policies and

24 CFR Part 75 (updated from Part 135)

This section has been updated to reference current HUD regulations and emphasize mandatory compliance.

For purposes of this Section 3 policy, the following terms and conditions shall apply.

Definitions:

Applicant – Any entity which makes an application for Section 3 Covered Assistance, and includes, but is not limited to, any State, unit of local government, public housing agency or other public body, public or private nonprofit organization, private agency or institution, mortgagor, developer, limited dividend sponsor, builder, property manager, community housing development organization, resident management corporation, resident council, or cooperative association.

Board – the Board of Commissioners of the FHC.

Business Concern – a business entity formed in accordance with State law, and which is licensed under State, county or municipal law to engage in the type of business activity for which it was formed.

City – the City of Flint, Michigan.

Contractor - any entity which contracts to perform work generated by the expenditure of Section 3 Covered Assistance, or for work in connection with a Section 3 Covered Project.

Department Director – the head of an FHC department.

FHC – the Flint Housing Commission, a Michigan public body corporate.

Family Self-Sufficiency (FSS) - programs as defined by 24 CFR Part 984

Employment Opportunities Generated by Section 3 Covered Assistance – all employment opportunities generated by the expenditure of Section 3 Covered Assistance, i.e., operating assistance, development assistance and modernization assistance, as described in Section 135.3 (a) (1). With respect to Section 3 Covered Assistance, this term means all employment opportunities arising in connection with Section 3 Covered Projects, as described in 24 CFR 135.3 (a) (2)), including management and administrative jobs. Management and administrative jobs include architectural, engineering or related professional services required to prepare plans, drawings, specifications, or work write-ups; and jobs directly related to administrative support of these activities, e.g., construction manager, relocation specialist, payroll clerk, etc.

Chief Executive Officer – the chief executive officer of the FHC appointed by the Board.

Housing Development – low-income housing owned, developed, or operated by public housing agencies in accordance with HUD's public housing program regulations codified in 24 CFR Chapter IX.

Flint Housing Commission – Section 3 Policy

HUD – the United States Department of Housing and Urban Development.

JTPA – The Job Training Partnership Act (29 U.S.C. 1579 (a)).

Low-Income Person – families, including single persons, whose income(s) does not exceed 80% of the median income for the area, as determined by the Secretary of HUD, with adjustments for smaller and larger families, except that the Secretary of HUD may establish income ceilings higher or lower than 80% of the median for the area on the basis of the Secretary of HUD's findings that such variations are necessary because of prevailing levels of construction costs or unusually high or low-income families.

Metropolitan Statistical Area – a metropolitan statistical area (MSA), as established by the United States Office of Management and Budget.

New Hires – full-time employees for permanent, temporary or seasonal employment opportunities.

Recipient – any entity which receives Section 3 Covered Assistance, directly from HUD or from another Recipient and includes, but is not limited to, any State, unit of local government, public housing agency, or other public body, public or private nonprofit organization, private agency or institution, mortgagor, developer, limited dividend sponsor, builder, property manager, community housing development organization, resident management corporation, resident council, or cooperative association. Recipient also includes any successor, assignee or transferee of any such entity, but does not include any ultimate beneficiary under the HUD program to which Section 3 applies and does not include Contractors.

Section 3 – Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C. 1701u).

Section 3 Business Concern – a Business Concern,

1) That is 51% or more owned by Section 3 resident(s): or

2) Whose permanent, full-time employees include persons, at least 30% of whom are currently Section 3 residents, or who were section 3 residents at the time the persons were employed by the business but whose income now exceed the income level of a low or very low-income person, and the date of first employment by the business concern has not exceeded a period of three years.; or

3) That provides evidence of a commitment to subcontract in excess of 25% of the dollar award of all subcontracts to be awarded to Business Concerns that meet the qualifications set forth in paragraphs 1 or 2 above.

Section 3 Clause – the contract provisions set forth in 24 CFR 135.38 are required to be included in all Section 3 Covered Contracts. All section 3 covered contracts shall include the following clause (referred to as the section 3 clause)

A. The work to be performed under this Contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, i.e.,

Section 3. The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

B. The Contractor agrees to comply with Section 3 and HUD's regulations in 24 CFR Part 135, which implement Section 3. The Contractor certifies that it is under no contractual or other impediment that would prevent it from complying with the 24 CFR Part 135 regulations. The Contractor also agrees to comply with FHC's Section 3 Policy and FHC's Standard Operating Procedure for Implementing FHC's Section 3 Policy.

C. The Contractor agrees to send to each labor organization or representative of workers with which the Contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or

workers' representative of the Contractor's commitments under this Section 3 Clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

D. The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon finding a subcontractor in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge the subcontractor has been found in violation of the regulations in 24 CFR part 135.

E. The contractor will certify any vacant employment positions, including training positions, are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.

F. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

G. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (I) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to this provision of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

Section 3 Covered Assistance

1) Housing Development assistance provided pursuant to Section 5 of the 1937 Act.

2) public housing operating assistance provided pursuant to Section 9 of the 1937 Act.

3) public housing modernization assistance provided pursuant to Section 14 of the 1937 Act.

4) assistance provided under any HUD housing or community development program that is expended for work arising in connection with housing rehabilitation, construction, or other public construction projects (which include other buildings or improvements, regardless of ownership).

Section 3 Contract – a contract or subcontract awarded by a Recipient or Contractor for work generated by the expenditure of Section 3 Covered Assistance or for work arising in connection with a Section 3 Covered Project. Section 3 contracts do not include contracts for the purchase of supplies and materials unless the contract includes the installation of the materials.

Section 3 Resident – a public housing resident or a Low-Income Person or Very Low- Income Person who resides in the Metropolitan Area in which the Section 3 Covered Assistance is expended.

Targeted Section 3 Worker-

1.Is employed by a Section 3 business concern.

2. Currently fits or when hired fits at least one of the following categories, as documented within the past five years:

- A resident of public housing or Section 8-assisted housing.
- A resident of other public housing projects or Section 8-assisted housing managed by the PHA that is providing the assistance; or
- A YouthBuild participant.
- A Section 3 targeted worker for Housing and Community Development Financial Assistance projects is a

Section 3 worker who:

- Is employed by a Section 3 business concern: or
- Currently fits or when hired fit at least one of the following categories, as documented within the past five years:
- Living within the service area or the neighborhood of the project, as defined in **24 CFR 75**
- A YouthBuild participant.

Section 3 SOP – the Standard Operating Procedure for Implementing FHC's Section 3 Policy.

Subcontractor – any entity, other than a person who is an employee of the Contractor, which has a contract with a Contractor to undertake a portion of the Contractor's obligation for the performance of work generated by the expenditure of Section 3 Covered Assistance or arising in connection with a Section 3 Covered Project.

24 CFR Part 135 – federal regulations at 24 CFR 135 Subtitle A, et. all.: Economic Opportunities for Low- and Very Low-Income Persons; Interim and Final Rules

Very Low-Income Person – families, including single persons, whose income does not exceed 50% of the median family income for the area, as determined by the Secretary of HUD with adjustments for smaller and larger families, except that the Secretary of HUD may establish income ceilings higher or lower than 50% of the median for the area on the basis of the Secretary of HUD's findings that such variations are necessary because of unusually high or low family incomes

Contractors and Subcontractors Obligations

1. Submit a Section 3 Compliance Plan prior to contract award, specifying the method(s) of compliance (hiring, subcontracting, other economic opportunities, or fund contributions.
2. Achieve minimum hiring and contracting benchmarks or demonstrate good-faith efforts with verifiable documentation.
3. Participate in compliance meetings and submit monthly Section 3 performance reports (e.g. new hires, subcontracting dollars, and outreach efforts)
4. This section has been updated to add plan submission requirements, mandatory reporting and subcontracting accountability.
5. Report on a monthly basis hours worked by a Section 3 worker or section 3 business.

I. OVERVIEW

As an entity that receives funding from HUD, FHC is obligated to comply with Section 3 as implemented through 24 CFR Part 135. The purpose of Section 3 is to ensure employment and other economic opportunities generated by HUD assistance shall, to the greatest extent feasible, be directed to Low and Very-Low Income Persons, particularly those who are recipients of HUD housing assistance.

This policy details how FHC and its Contractors will implement the actions necessary to comply with 24 CFR Part 135. The prescribed actions are designed to insure both FHC and its Contractors take affirmative action(s) to provide economic opportunities to Section 3 eligible persons.

All Applicants, bidders, Contractors and Subcontractors are encouraged to review the complete text of FHC's Section 3 Policy and the Section 3 SOP. Both documents may be obtained, on line at FHC's website, www.flinthc.org, or, at no cost, by contacting the Flint Housing Commission, 3820 Richfield Road, Flint, Michigan 48506, Telephone (810)736-3050.

II. REQUIRED CONTRACT LANGUAGE

Every FHC Section 3 Contract shall include a Section 3 Clause that contains language which requires Contractors to comply with Section 3, 24 CFR Part 135 and the provisions of FHC's Section 3 Policy and the Section 3 SOP. Furthermore, every Applicant, Recipient, contracting party, Contractor, and Subcontractor shall incorporate, or cause to be incorporated into all Section 3 Contracts the language in the Section 3 Clause (see the Definitions for the language).

III. SETTING SECTION 3 GOALS

FHC and its Contractors and Subcontractors are responsible for establishing Section 3 goals. Such goals will reflect a commitment to hiring Section 3 Residents and/or subcontracting work to qualified Section 3 Business Concerns. Contractors shall demonstrate compliance with the "greatest extent feasible' requirement of Section 3 by selecting one of the following:

1. Meeting the numerical goals set forth in this section for providing employment or training opportunities to residents of public housing or other low-income people (section 3 residents).

2. Subcontracting work for the covered contract to a Section 3 Certified Business Concern(s);

3. Provide other economic opportunities to low-income people.

4. Contributing to FHC's Educational and Training Fund; or

5. Becoming a Section 3 Certified Business Concern

Each proposal must provide an implementation plan in narrative form that identifies the chosen method of compliance and the supporting evidence for that compliance method as outlined below.

A. The Hiring and Training Method of Compliance

Every contractor and subcontractor choosing to provide training or employment opportunities in order to comply with Section 3 must commit, in writing, to the following:

Compliance Benchmark

Labor Hours: At least 25% of total hours must be worked by Section 3 Workers, of which 5% must be Targeted Section 3 Workers.

- Section 3 Worker labor Hours / Total labor hours = 25% AND
- Targeted Section 3 labor hours / Total labor hours = 5%.

FHC's order of preference for Section 3 hires is as follows:

1. Section 3 Residents living in a housing development owned or operated by FHC and receiving housing assistance (category 1 residents);
2. Section 3 Residents living in a housing development not owned or operated by FHC but receiving housing assistance administered through FHC (category 2 residents);
3. Section 3 Residents residing in Genesee County receiving housing assistance through a HUD agency (category 3 residents);
4. Section 3 Residents residing in the MSA receiving housing assistance through a HUD agency (category 4 residents);
5. Participants in HUD Youth build programs being carried out in the Flint metropolitan area.
6. Other Section 3 residents (category 4 residents).

The contractor shall maintain all records, reports and other documents to demonstrate compliance with the Section

3 requirements.

B. The Subcontracting Method of Compliance

Every Contractor choosing to subcontract with Section 3 Business Concerns in order to comply with Section 3, must commit, in writing, to the following:

The order of preference for subcontracting is specified in Section D. below.

C. The Other Economic Opportunities Method of Compliance

Every Contractor choosing to provide other economic opportunities in order to comply with Section 3, must commit, in writing, to the following:

Undertake efforts to provide economic opportunities other than training, employment and subcontract awards to Section 3 residents, Section 3 Business Concerns or entities that administer Family Self-Sufficiency (FSS) programs as defined by 24 CFR Part 984.

Other economic opportunities to train and employ Section 3 residents include, but need not be limited to, use of "upward mobility", "bridge", and hiring Section 3 residents in part-time positions.

Contractors or Subcontractors may provide economic opportunities to establish, stabilize or expand Section 3 Business Concerns. Such opportunities may include, but are not limited to, the formation of Section 3 joint ventures, financial support for affiliating with franchise development, use of labor only contracts for building trades, or purchase of supplies and materials from Section 3 Business Concerns. Contractors and Subcontractors may utilize these methods directly or may provide financial incentives to non-Section 3 business concerns to provide economic opportunities to Section 3 residents.

This Other Economic Opportunities method of compliance provides for Contractors to meet their Section 3 requirements by providing resources to Section 3 Residents or Business Concerns which may be more achievable based on the operations of the Contractor. Implementation of other economic opportunities may be accomplished using the following examples. The examples provided are not all inclusive.

a. Extending purchase discounts to a Section 3 Business Concern who would otherwise not qualify for volume discounts.
b. Donating materials or equipment to a Section 3 Resident, Section 3 Business Concern or an entity administering FSS programs.
c. Use of "upward mobility", "bridge", and hiring Section 3 residents in long-term part-time positions.

All actions intended to meet Other Economic Opportunities must directly or indirectly contribute to a Section 3 Resident's increase in earned income or reduction of their dependence on rental subsidy or other State or Federal Housing related assistance.

D. The Section 3 Certified Business Concern Method of Compliance

Contractors and Subcontractors may demonstrate compliance by becoming a certified Section 3 Business Concern. Section 3 Business Concerns are defined as follows:

1. Category 1 Businesses - Section 3 Business Concerns that are 51% or more owned by Section 3 Residents.
2. Category 2 Business – A Section 3 Business Concern whose full-time, permanent workforce includes 30% Section 3 Residents as employees.
3. Category 3 Businesses – Section 3 Business Concerns that subcontracts in excess of 25% of the total amount of subcontracts to Category 1 or Category 2 business concerns.

If this compliance method is chosen, a Contractor or Subcontractor seeking to become a Section 3 certified Business Concern shall submit such evidence, as may be reasonably required by FHC that the Contractor or Subcontractor qualifies as a Section 3 Business Concern in one of the 3 categories outlined above. Such evidence may include,

but is not limited to, an affidavit certifying that 30% of the subject Contractor's or Subcontractor's employees are low-income as defined by federal guidelines; documentation to prove the Business Concern is actively recognized as a Certified Section 3 Business Concern by HUD or MSHDAS; household income of the business owner; a list of employees and the household income of each employee, etc.

Also, a Section 3 Business Concern seeking a contract or subcontract shall submit such evidence, as may be reasonably required, sufficient to demonstrate to FHC that the Section 3 Business Concern is responsible and has the ability to perform successfully under the terms and conditions of the proposed contract.

E. Consideration of Section 3 Compliance/Plan in the Awarding Section 3 Covered Contracts

FHC will take the proposed Section 3 plan into consideration in awarding Section 3 covered contracts as follows:

1. For competitively negotiated contracts (when price is not the primary determination for award) compliance with Section 3 requirements must be one of the evaluation criteria. This criterion shall have a minimum value of 5 points to a maximum value of 15 points depending upon the nature of the services requested under the Section 3 covered contract.
2. For fixed price contracts, generally sealed bids, (when price is the primary determinate for an award) the following procedure will be applied:

Preference in the award of Section 3 covered contracts awarded under a sealed bid process will be provided as follows:

Bids shall be solicited from all businesses (Section 3 Business Concerns, and Non-Section 3 business concerns). An award shall be given to the qualified Section 3 Business Concern with the highest priority ranking and with the lowest responsive bid if that bid is not more than "X" higher than the total bid price of the lowest responsive bid from any non-section 3 Concern bid responsible. X' is determined as follows:

When The Lowest Responsive Bid Is:	**X-lesser of:**
Less than $100,000	10% of that bid or $9,000.
At least $100,000, but less than $200,000	9% of that bid, or $16,000.
At least $200,000, but less than $300,000	8% of that bid, or $21,000.
At least $300,000, but less than $400,000	7% of that bid, or $24,000.
At least $400,000, but less than $500,000	6% of that bid, or $25,000.
At least $500,000, but less than $1 million	5% of that bid, or $40,000.
At least $1 million, but less than $2 million	4% of that bid, or $60,000.
At least $2 million, but less than $4 million	3% of that bid, or $80,000.
At least $4 million, but less than $7 million	2% of that bid, or $105,000.
At least $7 million or more	1-1/2% of the lowest responsive bid, with no dollar limit.

If **Flint Housing Commission (FHC)** elects to award the Contract, FHC will make the award to the Bidder who submitted the lowest responsive, responsible Bid (including the Section 3 preference) conforming in all material respects with the requirements stated above, that is, the Contract shall be awarded to a responsible Bidder with the lowest responsive bid.

F. Right to Reject Bid/Proposal as Non-Responsive

If the Offeror fails to submit a Section 3 plan with its proposal, the Offeror's proposal may be rejected as non-responsive.

IV. COMPLIANCE MONITORING AND PERFORMANCE REVIEWS

FHC has the right, authority and responsibility to monitor the Contractor's implementation of each element of the Contractor's Section 3 plan, including awarding subcontracts and Section 3 hiring and training. FHC staff should develop and implement a Section 3 SOP that includes strategies required to accomplish the monitoring function and to implement this Section 3 policy. If voluntary compliance cannot be obtained, sanctions, described and referenced in Section VI below, may be imposed by FHC to enforce compliance. FHC reserves the right to allow contractors to

comply with Section 3 by combining or adjusting methods of compliance when necessary to ensure the overall goal of compliance with Section 3 is being met to the greatest extent feasible. It is desired that contractors select a single method of compliance. However, in some instances particularly when contractors have difficulty complying with a single method, an alternative method of compliance may be approved by FHC.

The Contractor shall provide status report(s) as requested by FHC staff identifying its progress in meeting its Section 3 goals. The status report should be at least the same level of detail as the approved Section 3 Plan and provided within ten (10) days of request. For any goal not met, the report shall identify any other economic opportunities which the Contractor has provided or intends to provide to Section 3 Residents and the dollar value of each.

V. INFORMATION REGARDING FLINT HOUSING COMMISSION EDUCATIONAL & TRAINING FUND

A Contractor who fails to satisfy its Section 3 goal by not achieving the proper hours 24 CFR 35 must contribute to the FHC Section 3 Education & Training Fund an amount equivalent to 3% of the amount paid to the Contractor under the Section 3 Contract. These funds will be deducted from the final billing. The 3% may be adjusted based on the percentage of the "Compliance Benchmark" goals met by the Contractor (example; 50% "Compliance Benchmark" achieved by the Contractor, the 3% paid to the FHC Section 3 Education & Training Fund may be reduced to 1.5%). These funds will be used to pay for resources which reduce barriers or provide opportunities for Section 3 Residents to increase their earned income or reduce their dependence on rental subsidy or other State or Federal housing related assistance. The Fund can provide assistance to Section 3 Residents, Section 3 Business Concerns or entities administering FSS programs. These funds can be used for education, training, testing, certifications or licensing for Section 3 residents. Contributions shall be made payable and mailed to the Flint Housing Commission Education & Training Fund, 3820 Richfield Road, Flint, MI 48506.

Examples of eligible uses of Flint Housing Commission Education & Training Funds:

a. Rental or purchase of equipment required for employment or educational training purposes.
b. Supplies needed for education and training purposes.
c. Assistance with first-time license or exam/test fees.
d. Approved transportation expenses for Section 3 Residents to attend a Section 3 activity.
e. ACT/SAT prep courses, college entrance exams, and college/technical/vocational application fees.
f. First pay-period stipend.
g. Uniform, tools, or supplies required as a term of employment prior to first pay-period.
h. Passing or successful course completion, certifications, examinations or licensing.

Examples of ineligible uses of Flint Housing Commission Educational & Training Funds:

a. Utility payments
b. Payment of unsuccessful course completion, certifications, examinations or licensing.
c. Payments for licenses or certifications which will not contribute to the Section Residents financial self-sufficiency
d. Payments to assist with the establishment of a medical marijuana dispensary business.
e. Payment for medical expenses
f. Payment for counseling unless directly related to employment of the Section 3 Resident
g. Payment of administrative expenses incurred by FHC to administer these funds.

FHC shall maintain Educational & Training Funds separate from all other funds.

The FHC Finance Department will track individual contributions and expenditures and will report this information to the Board annually.

VI. ENFORCEMENT MEASURES

If the Contractor fails to submit a Section 3 plan with its bid, the Contractor's bid may be rejected as non-responsive.

If the Contractor fails to comply with its Section 3 obligations, enforcement measures that FHC may utilize singularly or in combination, at its sole discretion, include but are not limited to:

(a) Withhold some or all future contract payments pertaining to the Section 3 Contract determined to be in non-compliance or any other contract the Contractor may have with FHC until compliance has been achieved.

(b) Withhold a portion of the Contractor's final payment as liquidated damages.

(c) Terminate the contract.

(d) Impose a suspension from future FHC contracting opportunities for a period not to exceed 12 months; or

(e) Impose debarment from future participation in FHC contracting opportunities for up to three years.

Contractors may appeal sanctions imposed by FHC for non-compliance with this Section 3 Policy utilizing the appeal procedures in Section VIII. B., Suspension and Debarment, of FHC's Procurement Policy.

VII. REPORTING

The Executive Director or designee shall submit an annual report to the FHC Board of Commissioners detailing the accomplishments related to the Section 3 policy.

FLINT HOUSING COMMISSION

CONTRACT CONSIDERATIONS

I. SCHEDULE OF VALUES

A. Submit a printed•schcdule on AJA Fortu G703 Application and Certificate for Paytnent Continuation Sheet.

B. Subinit Schedule of Values within 15 days after date of Owner-Contnc{or Agreentent.

C. Format: Identify cach line itent and lide of the specification (Painting, Flooring, etc.). Identity sile tnubilizutiout bonds and insuconcc, tnaterials and lilbot, and include separate values for Generat Conditions and Overhead/Profit.

I . Provide a breakdown of the Contract Sunt in sufficient detail to facilitate continued evaluation of Applications for Pnytneni and progress reports.

2. Separate principal subcontract arnounls into severa) line ite:ns as directed by the Owner.

3. Round mnoutlts to the nearest whole dollar. Tluc t0ial shall equal the Contracl Sutn.

4. Providc a scparatc linc ilent in the Schedule of Values for cach pan of the Work whete Applications for Pitynenl :nay include materials or equip:nent purchased or fabricated and stored, but not yet installed. (Differentiate between itcms stored on-site and items stored off-site. Include requircments for insurance and bonding warehousing).

S. Each item in the Schedule ol' Values and Application for Payment shall be complete. The Contraclor's Overhead/Profit shall be listcd as a separate value.

I), Revise schedule to lisl approved Change Orders, with cach Application for Paytnent,,

2. APPLICATIONS FOR PAYMEN'C

A. original applicalion for payincnt on AIA G702 - Application and Cctlificale for Payment and AIA G 703 —Continuation Shcct.

I . Applications and Certificates for Pnyntent shall be signed tind notarized. Copy shell bc complete including sworn statement, contractor's certification, waivcrs or lien, construction progress reports, construction progress schedule (revised as required), and other similar attachinents when requested by Owner.

B. Content and Porcnat.• Ulilize Schedule of Values for listing items in Application for Payment.

. every cntry on thc form, including notarization and cxccution by a person authorized to sign legal documents on behatfofthe Contructor. Thc Owner will incotnpiete applications without action.

-Page

2. Entries will match data on the Schedule of Values and the Contractor's Construction Schedule. Use updated schedules if revisions were inude.
3. Include amounts of Change Orders and Construction Change Directives issued prior to the Just da)'ol'(he

construction period coveted by the application. C. Paymeåt Period: Oncc Every 30 days (Monthly)

Dt Waivers of Lien: With each Application for Payntent. submit waivers of liens from all sub-contractors, sub subcontractors, and suppliers for the construc(ion period covered by the previous application.

I. Waivers shall be in the (Orni as approved by the Ow'ncr, identifying the Contract Arnount, Change Orders, (extras and credits), mnounts previously paid, retentionbalance, current pay tuent mnounc. balance to becotue due, and Idcntifying the Company, Contpany Address and original signature of authorized signer.

2. Subntit waivers on cach itctn for thc atnount requested
3. Submit Full Conditionilf Waivcrs of Lien prior to request for retention rcduc(ion
4. Sublhit Full Un-Conditional Waivers of Licn prior to requesting final payment Final payment wilt not be released prior to the receipt of Full Unconditional Waivers.

E. Include Sworn Sta(ctncnt and Contractor's Certification fortns as required by Owner. F. Include an updated construction progress schedule (hal fits wilhin the lime limits of the signed Owner-Contraclor Agrccmcnti G. Include Daily Construction Reports

1- 1. Initial Application for Paytncnt: Adillinistrativc actions and submittals, that must precede or coincide with subjnittal of (hc first Application ror Payment, include the following:

I. List sub-contractors, with names, addresses, Fed IDil, Phone Numbers
2. List principal suppliers and fabricators
3. Contractor's Consttuc(ion Schedule (preliminary if not final)
4. Schedule of principal products
5. Subtni(tal Schedulc (prelinlinary if not fino!)
6. Copies of pennits
7. Copies of Authorizations and licenses from governing authoritics for pcrfortuancc of the Work.
8. Initial progress rcpolt.

9. Report of preconstruction inecting, I, Application for at Substantial Completion: Following issuance of the

Certificate for Substantial C'01npiction, submit an Application for Paymen(a Administrative actions and subinit(als (hat shall precede or coincide with thc application include:

i . Occupancy permils and sirni)ar approvals.

2. Warranties (guarantees) and maintenance agreements
3. Maintenance instructions.

Maintcnance instructions.

4. Startup perforcnancc repotls.
5. Changeover infonual ion related to Owner's occupancy. use, operation. and tnaintenance.
6. Final Cleaning.
7. List of inconlple(e Work. recognized as exceptions 10 thc Certificutc of Substantial Cotnplelion,
8. Copies of approvals froni appropriate governmental agencies.

J. Final Payment Application: Administrative actions and subtnittals thal gnus(precede or coincide with submittal of the final Application for Payment includc the following: I , Cornpletion of Project closeout requirtanenis.

2. Cotnpletion of itctns spccificd Ibr conv)etion after Substantial Completion. .3. Ensure that unsettled claitns will be settled (Sworn Statements and Full Unconditional Waivcr«).

4. Transnlittal or required Project construction records to the Owner.
5. Proof that taxes, fces, and other similar obligations wcre paid.
6. Removal of tetnporary facilities and services.
7. Renvovol of surplus)natcrials, rubbish, and similar clccncnts.

K. Progress payincnts will not processed without receipt or the requested docurnentation. If docutncnts are incomplcte or are found to bc unacceptable by the Owner. payruenls win be withheld until acceptable documents havc bccn received.

3. CHANGE PROCEDURES

A. The Owner will advisc of tninor changes in the %vVork not involving an adjusuuent to Conqract Sum/Price or Contract TiJnc as authorized by General Conditions, i•lUD Form 53 70, by issuing supplemental instructions.

B. The Owner may issue a Proposal Rcqucsl or Notice of Chnngc which includes a detuilcd dcscription of a proposed change with supplementary or revised drawings or

specifications, and/or n changc in Contract Tirne for cxeculing thc change. Contractor will prepare and submit an csti:nate within ten (I O) dit)'S.

C. The Contractor nut)' propose changes by subrnit(ing a request for changc 10 lhc Owner, describing the proposed changc and its full effect on the Work. Include a stalement describing lhc reason for the changc, and the effect on the Conlracl Sutn/Price and Contracl Titue with full docwnentalion and o statetuen(describing the effect on Work by separate or other contractors. Docurnent any requested substitutions.

D. Stipulated Sum/Price Change Order: Based on Proposal Request, Notice of Change, Contractor's fixed price quotation or Contractor's request for a Change Order as approved by the Owner.

E. Execution of Change Orders: Owner will issue Change Orders for signatures of parties.

4. DEFECT ASSESSMENT

A. Replace the Works or portions of (he Work% not conrorn)ing (o specified requirerncnls.

t). It, in the opinion or the Owner, it is not practical (o tcmovc and replace the Work, the Owner will direct an appropriatc rejuedy or adjust payjnent.

CC

FLINT HOUSING COMMISSION

CONTRACT CLOSE OUT

1. **CLOSEOUT PROCEDURES**
   A. Submit written certification that Contract Documents have been reviewed, Work has been inspected, and that Work is complete in accordance with Contract Documents and ready for Owner's review.
   B. Provide submittals to Owner that are required by governing or other authorities.
   C. Submit final Application for Payment identifying total adjusted Contract Sum, previous payments, and sum remaining due.

2. **FINAL CLEANING**
   A. Execute final cleaning prior to final project assessment.
   B. Remove waste and surplus materials, rubbish, and construction facilities from the site.

3. **WARRANTIES AND BONDS**
   A. Provide duplicate notarized copies.
   B. Execute and assemble transferable warranty documents from Subcontractors, suppliers, and manufacturers.
   C. Submit prior to final Application for Payment.

4. **FINAL ACCPTANCE**
   A. Preliminary Procedures: Before requesting final inspection for certification of final acceptance and final payment, complete the following. List exceptions in the request:
      1. Submit the final payment request with releases and supporting documentation not previously submitted and accepted. Include insurance certificates for products and completed operations where required.
      2. Submit an updated final statement, accounting for final additional changes to the Contract sum.
      3. Submit a certified copy of the final inspection list of items to be completed or corrected, endorsed and dated by the Owner or the Owner's representative.
      4. Submit consent of surety to final payment.
      5. Submit a final liquidated damages settlement statement.
      6. Submit evidence of final, continuing insurance coverage complying with insurance requirements.
      7. Warranty Agreement (for 18 months after approved Substantial Completion).
      8. Full Un-Conditional Waivers of lien from Contractor, and ALL sub-trades and suppliers.

9. Contractor's Sworn Statement (notarized).
10. Contractor's Certificate and Release on Contractor's letterhead.
11. Contractor's Certificate of Completion – Consolidated
12. Submit As-Built drawing as needed for Contract project.
13. Submit final payrolls for Contractor and all subcontractors.

B. Re-inspection Procedure: The Owner's representative will re-inspect the Work upon receipt of notice that the Work, including inspection list items from earlier inspections, has been completed, except for items whose completion is delayed under circumstances acceptable to the Owner.
   1. Upon completion of re-inspection, the Owner will prepare a certificate of final acceptance. If the Work is incomplete, the Owner will advise the Contractor of Work that is incomplete or of obligations that have not been fulfilled but are required for final acceptance.
   2. If necessary, re-inspection will be repeated, at the Contractor's expense.

FLINT HOUSING COMMISSION

CONTRACTORS CLOSEOUT DOCUMENTS CHECKLIST

The following documents shall be completed and submitted to the Owner by the General Contractor at the conclusion of the Project, before final payment is made.

1. Contractor's Release and Certification
2. Certificate of Completion-Consolidate (2 pages)
3. 18 month Warranty
4. Contractor's Sworn Statement
5. Full Unconditional Waivers of Lien from all subtrades and suppliers
6. Warranty Certificates as may be required and identified in Project Manual
7. As Built Drawings
8. Maintenance manuals for any and all equipment installed
9. Davis-Bacon Payroll sheets from day of Notice to Proceed until day work is accepted as "final and complete".
10. City of Flint inspections, if inspections are needed for permits pulled.

END OF CONTRACTORS CLOSEOUT DOCUMENTS CHECKLIST

18 MONTH
WARRANTY AGREEMENT

Know all men by these presents, that in consideration of having been awarded the contract for :

The undersigned warrants that all work performed in connection with the subject project be in full compliance with the Contract Documents and that no substitutions have been made except as authorized in writing by the Owner or the Architect

In accordance with the General Conditions, the undersigned also agrees to promptly return to the project and correct any nonconforming or defective material or workmanship furnished, including any damage caused to the work of others

Failure to take appropriate action within seven (7) days after written notification shall be automatic authorization for the Owner to have the corrections made at the expense of the undersigned.

This Warranty shall remain in full force until _____.
The warranty period shall be in full force for 18 months from the date of "FINAL ACCEPTANCE" of ALL WORK.

Firm Name: _____

Authorized Signature _____

Title: _____

Date: _____

Official Address: _____

_____

Phone Number: _____

CONTRACTOR'S RELEASE AND CERTIFICATION

Project No : Contract Number:

Project Name:

Description of Contract Work:

This is to certify:

1. That the above described work was completed in accordance with the construction contract documents except the following.

2. That the total remaining amount legally due for complete compensation for the above work is $ _____, and that the following amount(s) is/are outstanding for unsettled claim(s) against the Housing Commission

3. That all wages paid to the laborers and/or mechanics were consistent with the wage rate requirements of the construction contract, and that there are no outstanding claims for unpaid wages.

4. That all applicable guaranties and warranties have been assigned to the Housing Commission.

5. That the Housing Commission is released from all claims resulting from my activities, purchases, rents, or any other in connection with the above work.

Contractor's Signature

Construction Firm Name

Address

Subscribed and sworn to before me this
day of

Notary
My Commission Expires:

CERTIFICATE OF COMPLETION-CONSOLIDATED

THIS IS TO CERTIFY that all work and materials have been carefully inspected by duly authorized representatives or agents of the Flint Housing Commission, hereinafter call the Public Housing Agency, and that _____
Hereinafter called the Contractor, has furnished all labor, materials and services required for the completion of this designated contract at _____ located in the City of Flint, State of Michigan, in accordance with requirements of the Specifications and Drawings and Contract No. _____ dated _____ between the Public Housing Agency and the Contractor.

THIS IS TO CERTIFY:

1. That all work covered by this contract, originally scheduled to be completed on _____, was actually completed on _____

2. That all changes permitted or requested to be made, except minor modifications and field adjustments, have been authorized by written and duly approved Change Orders, and all stop orders have been confirmed and listed in writing.

3. That all Proceed Orders have been supported by approved Change Orders equitably adjusting the contract price or time, where adjustment is indicated;

4. That Change Order Nos. _____ constitute the only amendments to the contract price and /or time, and that ALL Change Orders issued in connection with this contract are listed on the attached schedule;

5. That all certificates, bonds, guaranties, warranties, insurance, and tests required under the contract have been furnished or performed;

6. That the Flint Housing Commission has obtained from the Contractor the attached Certificate and Release releasing the Housing Commission in full from all further claims under this contract.

7. That all laborers and mechanics have been paid not less than the minimum wage rates as established in said contract, and that there have been no claims made for infringement of any patent.

8. That no claims of any nature by any laborer, mechanic, subcontractor, material distributor, or vendor are outstanding against the Housing Commission; and

CERTIFICATE OF COMPLETION-CONSOLIDATED page 2 of 2

FHC Contract Name and No.

9. That:

Date for Completion fixed in contract	
Date for Completion as extended	
Actual completion date of contract work	
Original contract price	$
Authorized additions	$
SUBTOTAL	$
Authorized deductions excluding Liquidated damages	$
ADJUSTED CONTRACT PRICE	$
Less: Total payment to Contractor	$
Total amount of Liquidated damages Assessed	$
BALANCE	$

10. That voucher for final payment in the amount of

_____ Dollars and

_____ Cents ($_____) is due and payable.

SCHEDULE OF CHANGE ORDERS

ORIGINAL CONTRACT AMOUNT: $_____

CHANGE ORDER NUMBER	ADDITION $	DEDUCTION $	NEW CONTRACT AMOUNT	TIME EXT